Edgar Filing: Stone Harbor Emerging Markets Total Income Fund - Form 5

Stone Harbor Emerging Markets Total Income Fund Form 5 July 22, 2014

FORM	4 5						OMB A	PPROVAL	
. •	-	STATES SECU				COMMISSIO	N OMB Number:	3235-0362	
Check the no longer	nis box if r subject	ashington, D.C. 20549				Expires:	January 31, 2005		
to Section Form 4 of 5 obligation may con See Instruction 1(b).	on 16. or Form tions tinue. uction Filed pur Holdings Section 176	rsuant to Section	CRSHIP OF 16(a) of the Jtility Holdi	Securities ing Comp	ITIES s Exchang any Act of	e Act of 1934 f 1935 or Sect		average urs per	
Transact Reported									
BROCK THOMAS W Symbol Stone H			ssuer Name and Ticker or Trading nbol one Harbor Emerging Markets			5. Relationship of Reporting Person(s) to Issuer			
			ncome Fund	~ ~	rkets	(Check all applicable)			
(Last)	(Sirst) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 05/31/2014			ar Ended	below)	ve title X Ot below)	% Owner her (specify		
	ARBOR INVEST RS LP, 31 WEST					·	CEO of Adviser		
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Reporting (check applicable line)					
NEW YOR	RK, NY 10019)					by One Reporting I by More than One I		
(City)	(State)	(Zip) Tal	ble I - Non-De	erivative Se	curities Acq	uired, Disposed	of, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securiti Acquired (Disposed (Instr. 3, 4)	(A) or of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	eport on a separate line eficially owned direct		contained	in this for	m are not	ollection of int required to res valid OMB con	spond unless	SEC 2270 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title Amount or Number of Shares	

D

 $\mathbf{F}_{\mathbf{i}}$

Reporting Owners

Reporting Owner Name / Address	Relationships					
Topolog Child Philos	Director	10% Owner	Officer	Other		
BROCK THOMAS W STONE HARBOR INVESTMENT PARTNERS LP 31 WEST 52ND STREET NEW YORK Â NYÂ 10019	Â	Â	Â	CEO of Adviser		

Signatures

/s/ Thomas W.
Brock

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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