Edgar Filing: Christiansen Bryan - Form 4

| Form 4 | · | | | | | | | | | | |
|---|---|--|--|--------------------------------------|------------|-------|--|--|---|---|--|
| December 0' | M 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | OMB APPROVAL OMB 3235-0287 Number: January 31 | |
| if no long subject to Section 1 Form 4 c Form 5 | or STATEN or | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | 2005 iverage rs per 0.5 | |
| obligatio may cont <i>See</i> Instr 1(b). | ns Section 17(| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type] | Responses) | | | | | | | | | | |
| Christiansen Bryan Symbol | | | | er i kunne und i renter or i rudning | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | f Earliest T | | | | (Check all applicable) | | | |
| (Month/I 1800 WEST ASHTON BLVD 12/06/2 (Street) 4. If Ame | | | mendment, Date Original | | | | Director 10% Owner _X Officer (give title Other (specify below) below) Chief Operating Officer | | | | |
| | | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| LEHI, UT 8 | 34043 | | | | | | Ī | Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secur | ities Acqui | ired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | rity (Month/Day/Year) Execution Date, if | | 3. 4. Securities Acquired (A Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | (D) | Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Com | | | | Code V | Amount | (D) | Price \$ | (Instr. 3 and 4) | | | |
| Common Stock | 12/06/2018 | | | S | 40,100 | D | 5.1589 (1) | 179,665 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Titl Deriv Secur (Instr. | vative rity | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. iorNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | Date | Amou Unde Secur | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|--------------------------------------|----------------|---|---|---|--------------------------------------|--|---------------------|--------------------|-----------------------|---|---|--|
| | | | | | Code N | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|------------|-------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Christiansen Bryan 1800 WEST ASHTON BLVD LEHI, UT 84043 | | | Chief Operating Officer | | | | | |
| Signatures | | | | | | | | |
| /s/ Dana C. Russell, attorney-in-fact | | 12/07/2018 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were disposed of pursuant to the reporting person's Rule 10b5-1 trading plan in non-discretionary transactions to cover the reporting person's tax withholding obligations in connection with the settlement of an award of restricted stock units. The price reported

in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.95 to \$5.33, inclusive. (1) The reporting person undertakes to provide to Vivint Solar, Inc., any security holder of Vivint Solar, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.