#### KANAYA SUSAN M

Form 4 June 28, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5 Relationship of Reporting Person(s) to

Form filed by More than One Reporting

Person

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

KANAYA SUSAN M			Symbol	Issuer			
			ChemoCentryx, Inc. [CCXI]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	,			
			(Month/Day/Year)	Director 10% Owner			
C/O CHEMOCENTRYX, INC., 850 MAUDE AVENUE			06/26/2018	X Officer (give title Other (specify below)			
				EVP, CFO and Sec.			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			

#### **MOUNTAIN VIEW, CA 94043**

1 Name and Address of Reporting Person \*

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	ansaction Disposed of (D) de (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	06/26/2018		M	850	A	\$ 6	38,985	D	
Common Stock	06/26/2018		S <u>(1)</u>	850 (2)	D	\$ 13.25	38,135	D	
Common Stock	06/27/2018		M	49,150	A	\$ 6	87,285	D	
Common Stock	06/27/2018		S <u>(1)</u>	49,150 (2)	D	\$ 13.25	38,135	D	
Common Stock	06/28/2018		M	42,500	A	\$ 6	80,635	D	

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Common Stock	06/28/2018	S <u>(1)</u>	42,500 (2)	D	\$ 13.75	38,135	D
Common Stock	06/28/2018	M	7,007	A	\$ 3.57	45,142	D
Common Stock	06/28/2018	S	7,007 (2)	D	\$ 14.0033 (3)	38,135	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to buy)	\$ 6	06/26/2018		M		850	07/28/2009(4)	07/28/2019	Common Stock	850
Stock Option (right to buy)	\$ 6	06/27/2018		M		49,150	07/28/2009(4)	07/28/2019	Common Stock	49,150
Stock Option (right to buy)	\$ 6	06/28/2018		M		42,500	07/28/2009(4)	07/28/2019	Common Stock	42,500
Stock Option (right to buy)	\$ 3.57	06/28/2018		M		7,007	<u>(6)</u>	03/07/2026	Common Stock	7,007

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KANAYA SUSAN M C/O CHEMOCENTRYX, INC. 850 MAUDE AVENUE MOUNTAIN VIEW, CA 94043

EVP, CFO and Sec.

## **Signatures**

/s/ Susan M. Kanaya 06/28/2018

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold are associated with stock options that will expire in July 2019.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- Reflects the weighted average sale price of the sales sold. The shares were sold in multiple trades at prices ranging from \$14.00 to \$14.01
- (3) per share. The Reporting Person will provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
- (4) As of June 26, 2018, and prior to this transaction, the exercised options were fully vested.
- (5) Not applicable.
- (6) As of June 28, 2018, the exercised options were fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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