### Edgar Filing: IPPOLITO PETER J. - Form 3

#### IPPOLITO PETER J.

Form 3

February 01, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SHERWIN WILLIAMS CO [SHW] IPPOLITO PETER J. (Month/Day/Year) 01/22/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 101 W. PROSPECT AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CLEVELAND, Â OHÂ 44115 (give title below) (specify below) Form filed by More than One President, The Americas Group Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock 450 (1) Common Stock 11,435.84 (2) I Stock Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 5. (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock Units	(3)	(3)	Common Stock	554.85	\$ 0	D	Â
Employee Stock Option (Right to Buy)	10/17/2013	10/16/2022	Common Stock	2,334	\$ 154.4325	D	Â
Employee Stock Option (Right to Buy)	10/17/2014	10/16/2022	Common Stock	2,333	\$ 154.4325	D	Â
Employee Stock Option (Right to Buy)	10/17/2015	10/16/2022	Common Stock	2,333	\$ 154.4325	D	Â
Employee Stock Option (Right to Buy)	10/16/2014	10/15/2023	Common Stock	1,667	\$ 180.46	D	Â
Employee Stock Option (Right to Buy)	10/16/2015	10/15/2023	Common Stock	1,666	\$ 180.46	D	Â
Employee Stock Option (Right to Buy)	10/16/2016	10/15/2023	Common Stock	1,667	\$ 180.46	D	Â
Employee Stock Option (Right to Buy)	10/22/2015	10/21/2024	Common Stock	1,334	\$ 227.73	D	Â
Employee Stock Option (Right to Buy)	10/22/2016	10/21/2024	Common Stock	1,333	\$ 227.73	D	Â
Employee Stock Option (Right to Buy)	10/22/2017	10/21/2024	Common Stock	1,333	\$ 227.73	D	Â
Employee Stock Option (Right to Buy)	10/16/2016	10/15/2025	Common Stock	1,367	\$ 239.55	D	Â
Employee Stock Option (Right to Buy)	10/16/2017	10/15/2025	Common Stock	1,367	\$ 239.55	D	Â
Employee Stock Option (Right to Buy)	10/16/2018	10/15/2025	Common Stock	1,366	\$ 239.55	D	Â
Employee Stock Option (Right to Buy)	10/18/2017	10/17/2026	Common Stock	1,500	\$ 270.12	D	Â
Employee Stock Option (Right to Buy)	10/18/2018	10/17/2026	Common Stock	1,500	\$ 270.12	D	Â
Employee Stock Option (Right to Buy)	10/18/2019	10/17/2026	Common Stock	1,500	\$ 270.12	D	Â
Employee Stock Option (Right to Buy)	10/18/2018	10/17/2027	Common Stock	1,234	\$ 383.92	D	Â
Employee Stock Option (Right to Buy)	10/18/2019	10/17/2027	Common Stock	1,233	\$ 383.92	D	Â
Employee Stock Option (Right to Buy)	10/18/2020	10/17/2027	Common Stock	1,233	\$ 383.92	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
IPPOLITO PETER J. 101 W. PROSPECT AVENUE CLEVELAND, OH 44115	Â	Â	President, The Americas Group	Â		

# **Signatures**

Stephen J. Perisutti,
Attorney-in-fact
02/01/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares listed are restricted stock units.
- (2) Represents the number of shares of common stock attributable to the reporting person's participation in The Sherwin-Williams Company Employee Stock Purchase and Savings Plan per the trustee's 01/22/2018 statement.
- Represents the number of shares of phantom stock units that were acquired by the reporting person (in exempt transactions) under The Sherwin-Williams Company Deferred Compensation Savings and Pension Equalization Plan. Each phantom stock unit is the economic equivalent of one share of common stock. The phantom stock units become payable in cash generally upon the reporting person's retirement or other termination of service. The phantom stock units have dividend equivalent rights.

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#### **Remarks:**

Exhibit 24, Power of Attorney, is attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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