Sipes David Form 4 October 12, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

Expires: 2005 Estimated average

0.5

burden hours per response...

5 Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock Class A

Stock

Common

10/11/2017

(Print or Type Responses)

1 Name and Address of Reporting Person \*

Sipes David			2. Issuer Name and Ticker or Trading Symbol RingCentral Inc [RNG]				Issuer (Check all applicable)			
(Last)  C/O RING DAVIS DE	CENTRAL, INC	(Mont	3. Date of Earliest Transaction (Month/Day/Year) 10/11/2017				DirectorX Officer (given below)	e title 10% below)	Owner er (specify	
			4. If Amendment, Date Original Filed(Month/Day/Year)				Chief Operating Officer  6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) T	able I - Non-I	Derivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		Code	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common	10/11/2017		C(1)	7,800	A	\$0	290,380	D		

7,800

D

(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(2)}$ 

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

43.32 282,580

# Edgar Filing: Sipes David - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 0.99	10/11/2017		M		476	<u>(4)</u>	04/24/2019	Class B Common Stock	476
Class B Common Stock	<u>(5)</u>	10/11/2017		M	476		<u>(5)</u>	<u>(5)</u>	Class A Common Stock	476
Class B Common Stock	<u>(5)</u>	10/11/2017		C <u>(1)</u>		476	(5)	<u>(5)</u>	Class A Common Stock	476
Stock Option (right to buy)	\$ 2.73	10/11/2017		M		1,314	<u>(6)</u>	03/02/2022	Class B Common Stock	1,314
Class B Common Stock	<u>(5)</u>	10/11/2017		M	1,314		<u>(5)</u>	<u>(5)</u>	Class A Common Stock	1,314
Class B Common Stock	<u>(5)</u>	10/11/2017		C <u>(1)</u>		1,314	(5)	<u>(5)</u>	Class A Common Stock	1,314
Stock Option (right to buy)	\$ 16.05	10/11/2017		M		6,010	<u>(7)</u>	04/15/2021	Class B Common Stock	6,010
Class B Common Stock	<u>(5)</u>	10/11/2017		M	6,010		(5)	(5)	Class A Common Stock	6,010
Class B Common Stock	<u>(5)</u>	10/11/2017		C(1)		6,010	<u>(5)</u>	(5)	Class A Common Stock	6,010

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sipes David C/O RINGCENTRAL, INC. 20 DAVIS DRIVE BELMONT, CA 94002

**Chief Operating Officer** 

# **Signatures**

/s/ Bruce P. Johnson, Attorney-in-fact for David Sipes

10/12/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Share of Class A Common Stock was issued upon conversion of one share of Class B Common Stock.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 13, 2017.
  - Reflects weighted average sale price. Actual sale prices ranged from \$43.10 to \$43.50 on October 11, 2017. The Reporting Person
- (3) undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- (4) Options are fully vested and exercisable.
  - Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock and has no expiration date. In addition, each share of Class B Common Stock held by a shareholder will convert automatically into one share
- (5) of Class A Common Stock upon (i) any transfer of such share (subject to certain exceptions), or (ii) the occurrence of certain other specific instances, including the vote of the holders of the Class B Common Stock, as set forth in the issuer's Amended and Restated Certificate of Incorporation.
- One forty-eighth (1/48th) of the Shares subject to the Option vested on the date that is one month after the Vesting Commencement Date (6) 2/11/2014 and one forty-eighth (1/48th) of the Shares subject to the Option vests each month thereafter on the same day of the month as the Vesting Commencement Date.
- One forty-eighth (1/48th) of the Shares subject to the Option vested on the date that is one month after the Vesting Commencement Date (7) 4/15/2014 and one forty-eighth (1/48th) of the Shares subject to the Option vests each month thereafter on the same day of the month as the Vesting Commencement Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3