Nielsen Holdings plc Form 4 January 13, 2017

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* CALHOUN DAVID L

2. Issuer Name and Ticker or Trading Symbol

Nielsen Holdings plc [NLSN]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

C/O NIELSEN HOLDINGS PLC, 85 08/05/2016 **BROAD STREET** 

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10004

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative S	Securi	ties Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securities Acquired (A) omr Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(III3u. 4)	
Common Stock	08/05/2016		M	50,809	A	\$ 18.4	687,730.171 (1)	D	
Common Stock	08/05/2016		S	50,809	D	\$ 53.07 (2)	636,921.171 (1)	D	
Common Stock	08/05/2016		M	310,180	A	\$ 30.19	947,101.171 (1)	D	
Common Stock	08/05/2016		S	310,180	D	\$ 52.92 (3)	636,921.171 (1)	D	
							100,000	I	

Common By Trusts Stock (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Options to Purchase Common Stock (right to buy)	\$ 18.4	08/05/2016		M		50,809	<u>(5)</u>	02/25/2020	Common Stock	50,809
Options to Purchase Common Stock (right to	\$ 30.19	08/05/2016		M		310,180	<u>(5)</u>	05/11/2018	Common Stock	310,180

## **Reporting Owners**

buy)

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CALHOUN DAVID L							
C/O NIELSEN HOLDINGS PLC	X						
85 BROAD STREET	Λ						
NEW YORK, NY 10004							

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### **Signatures**

/s/ Emily Epstein, Authorized Signatory

01/13/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The total amount reported as beneficially owned in Column 5 is as of the date hereof.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$52.91 to \$53.22, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$52.74 to \$53.05, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- The securities are held by various trusts for the benefit of the Reporting Person and his family members as to which the Reporting Person or his wife is the trustee. The Reporting Person disclaims beneficial ownership of the securities held by the trusts except to the extent of his pecuniary interest therein.
- (5) These options to purchase shares of common stock are currently vested and exercisable.

#### **Remarks:**

Exhibit 24 - Confirming Statement

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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