#### Edgar Filing: ATLAS AIR WORLDWIDE HOLDINGS INC - Form 4

#### ATLAS AIR WORLDWIDE HOLDINGS INC

Form 4

September 21, 2016

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|    |    |   |   |

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Estimated average

Form filed by More than One Reporting

Person

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading BERNLOHR TIMOTHY J Issuer Symbol ATLAS AIR WORLDWIDE (Check all applicable) **HOLDINGS INC [AAWW]** (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Officer (give title \_\_ Other (specify (Month/Day/Year) below) 2000 WESTCHESTER AVEUNE 09/20/2016 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

PURCHASE, NY 10577

| (City)                                  | (State) (Z                              | Zip) Table                             | I - Non-De | erivative S  | Securi           | ties Ac | quired, Disposed  | of, or Beneficial                                      | lly Owned                                  |
|---|---|--|------------|--|------------------|---------|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3)    | 2. Transaction Date<br>(Month/Day/Year) | Ionth/Day/Year) Execution Date, if any |            | 3. 4. Securities TransactionAcquired (A Code Disposed of (Instr. 8) (Instr. 3, 4 and |                  | )       | 5. Amount of<br>Securities<br>Beneficially<br>Owned         | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I) | 7. Nature of Indirect Beneficial Ownership |
|   |   |  | Code V     | Amount   | (A)<br>or<br>(D) | Price   | Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | (Instr. 4)   | (Instr. 4)                                 |
| Common<br>Stock,<br>\$0.01 par<br>value | 09/20/2016                              |  | A          | 2,479  | A                | \$ 0    | 33,481  | D  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day | Pate               | 7. Title and<br>Underlying (Instr. 3 and | Securities                             |
|---|---|---|---|---------------------------------------|--|--|--------------------|--|--|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable                        | Expiration<br>Date | Title                                    | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | (1)   | 05/23/2016                              |   | M                                     | 2,479  | <u>(1)</u>                                 | 09/20/2016         | Common<br>Stock                          | 2,479                                  |

## **Reporting Owners**

| Reporting Owner Name / Address                                      | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| .,  | Director      | 10% Owner | Officer | Other |  |  |
| BERNLOHR TIMOTHY J<br>2000 WESTCHESTER AVEUNE<br>PURCHASE, NY 10577 | X             |           |         |       |  |  |

### **Signatures**

/s/ Michael W. Borkowski Michael W. Borkowski, as Attorney-in-Fact

09/21/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These restricted stock units vested on September 20, 2016 in connection with the approval by the issuer's shareholders of the "Restricted Share Issuance" as described in the issuer's definitive proxy statement on Schedule 14A filed with the Securities and Exchange

(1) Share issuance as described in the issuer's definitive proxy statement on Schedule 14A fried with the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934, as amended, on August 12, 2016 and were converted into an equivalent number of shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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