

Restoration Hardware Holdings Inc
Form 3
May 06, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Price DeMonty

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/04/2016

3. Issuer Name and Ticker or Trading Symbol

Restoration Hardware Holdings Inc [RH]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other

(give title below) (specify below)

Chief Customer/Values Officer

C/O RESTORATION
HARDWARE HOLDINGS,
INC.,Â 15 KOCH ROAD,
SUITE K

(Street)

CORTE
MADERA,Â CAÂ 94925

(City)

(State)

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

13,002

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------|--------------------|---------------------|----------------------------------|------------------------------------|---|---|
| Restricted Stock Unit (RSU) | Â (1) | Â (1) | Common Stock | 25,000 | \$ (2) | D | Â |
| Restricted Stock Unit (RSU) | Â (3) | Â (3) | Common Stock | 5,000 | \$ (2) | D | Â |
| Restricted Stock Unit (RSU) | Â (4) | Â (4) | Common Stock | 5,000 | \$ (2) | D | Â |
| Restricted Stock Unit (RSU) | Â (5) | Â (5) | Common Stock | 30,000 | \$ (2) | D | Â |
| Stock Option (Right to Buy) | Â (6) | 10/31/2022 | Common Stock | 23,900 | \$ 29 | D | Â |
| Stock Option (Right to Buy) | Â (7) | 05/08/2024 | Common Stock | 60,000 | \$ 61.3 | D | Â |
| Stock Option (Right to Buy) | Â (8) | 05/05/2025 | Common Stock | 10,000 | \$ 87.31 | D | Â |
| Stock Option (Right to Buy) | Â (9) | 10/02/2025 | Common Stock | 10,000 | \$ 93.51 | D | Â |
| Stock Option (Right to Buy) | Â (10) | 04/21/2026 | Common Stock | 70,000 | \$ 44.52 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Price DeMonty C/O RESTORATION HARDWARE HOLDINGS, INC. 15 KOCH ROAD, SUITE K CORTE MADERA, CA 94925 | Â | Â | Â Chief Customer/Values Officer | Â |

Signatures

Edward T. Lee as
Attorney-In-Fact 05/06/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This restricted stock unit award will vest with respect to 50% of the units on June 16, 2017, and the remaining 50% of the units on June 16, 2019.

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- (2) Each restricted stock unit represents a contingent right to receive one share of Restoration Hardware Holdings, Inc. common stock.
- (3) This restricted stock unit award will vest with respect to 20% of the units annually on each of June 16, 2016 through 2020, subject to continuous service.
- (4) This restricted stock unit award will vest with respect to 20% of the units annually on each of September 14, 2016 through 2020, subject to continuous service.
- (5) This restricted stock unit award will vest with respect to 20% of the units annually on each of June 16, 2017 through 2021, subject to continuous service.
- (6) The option is fully vested and is subject to time-based selling restrictions, which, subject to continuous service, lapse in four equal installments on each anniversary of November 1, 2012.
- (7) This option award will vest and become exercisable with respect to 20% of the options annually on the anniversary of the grant date, and will become fully vested on May 8, 2019.
- (8) This option award will vest and become exercisable with respect to 20% of the options annually on the anniversary of the grant date, and will become fully vested on May 6, 2020.
- (9) This option award will vest and become exercisable with respect to 20% of the options annually on the anniversary of the grant date, and will become fully vested on October 2, 2020.
- (10) This option award will vest and become exercisable with respect to 20% of the options annually on the anniversary of the grant date, and will become fully vested on April 21, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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