Senseonics Holdings, Inc.

Form 3

March 17, 2016

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Senseonics Holdings, Inc. [SENS] À ROCHE FINANCE LTD (Month/Day/Year) 03/17/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **GRENZACHERSTRASSE 122** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ 10% Owner Director Form filed by One Reporting Officer \_ Other (give title below) (specify below) BASEL, V8Â CH4070 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5)  $D_{\underline{(1)}}$ Â Common stock 7,068,679 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Common stock purchase warrant	(2)	07/15/2021	Common stock	164,284	\$ 1.79	D (1)	Â
Common stock purchase warrant	(2)	11/01/2020	Common	809,450	\$ 1.79	D (1)	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
noporous o muor rumo / rumo os	Director	10% Owner	Officer	Othe	
ROCHE FINANCE LTD GRENZACHERSTRASSE 122 BASEL, V8 CH4070	Â	ÂX	Â	Â	
ROCHE HOLDING LTD GRENZACHERSTRASSE 122 BASEL. V8 CH4070	Â	ÂX	Â	Â	

#### **Signatures**

/s/ Darren K. DeStefano, Attorney-in-Fact for Roche Finance Ltd	03/17/2016
**Signature of Reporting Person	Date
/s/ Darren K. DeStefano, Attorney-in-Fact for Roche Holding Ltd	03/17/2016
**Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Roche Finance Ltd is the direct beneficial owner of these securities of the Issuer. Roche Finance Ltd is a wholly owned subsidiary of Roche Holding Ltd. Roche Holding Ltd is the indirect beneficial owner of these securities of the Issuer. Each Reporting Person disclaims beneficial ownership of the securities except to the extent of its pecuniary interest therein, if any. Each Reporting Person also disclaims

- beneficial ownership of the securities except to the extent of its pecuniary interest therein, if any, Each Reporting Person also discharing beneficial ownership of any securities of the Issuer that may be or are beneficially owned by any other person or persons other than such Reporting Person. This Form 4 shall not be deemed an admission that any Reporting Person or other person is a beneficial owner of any securities of the Issuer for any purpose, other than the securities reported in this Form 4.
- (2) Immediately exercisable.

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#### **Remarks:**

Exhibit 24.1 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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