McGahn Daniel P Form 4 May 10, 2013

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

January 31,

Check this box if no longer subject to Section 16. Form 4 or

Expires:

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Common

Stock

Stock

05/09/2013

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading McGahn Daniel P Issuer Symbol AMERICAN SUPERCONDUCTOR (Check all applicable) CORP /DE/ [AMSC] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O AMERICAN 05/09/2013 President and CEO SUPERCONDUCTOR CORPORATION, 64 JACKSON **ROAD** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **DEVENS, MA 01434** (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V

F

Amount

14,081

(1)

(D)

D

Price

2.44

\$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

546,435 (2)

4,996 (3)

D

I

By 401K

Plan

### Edgar Filing: McGahn Daniel P - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                     | 6. Date Exerc | cisable and     | 7. Title | e and       | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------------------|---------------|-----------------|----------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                        | Expiration D  | ate             | Amour    | nt of       | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                     | (Month/Day/   | Year)           | Underl   | ying        | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | : 8) Derivative        |               |                 | Securit  | ties        | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities<br>Acquired |               |                 | (Instr.  | 3 and 4)    |             | Owne   |
|             | Security    |                     |                    |                   |                        |               |                 |          |             |             | Follo  |
|             |             |                     |                    |                   | (A) or                 |               |                 |          |             |             | Repo   |
|             |             |                     |                    |                   | Disposed               |               |                 |          |             |             | Trans  |
|             |             |                     |                    |                   | of (D)                 |               |                 |          |             |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,             |               |                 |          |             |             |        |
|             |             |                     |                    |                   | 4, and 5)              |               |                 |          |             |             |        |
|             |             |                     |                    |                   |                        |               |                 |          | Amount      |             |        |
|             |             |                     |                    |                   |                        |               |                 |          | Amount      |             |        |
|             |             |                     |                    |                   |                        | Date          | Expiration Date | Title N  | or<br>Namel |             |        |
|             |             |                     |                    |                   |                        | Exercisable   |                 |          | Number      |             |        |
|             |             |                     |                    | C 1 W             | (A) (D)                |               |                 |          | of          |             |        |
|             |             |                     |                    | Code V            | (A) (D)                |               |                 |          | Shares      |             |        |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

McGahn Daniel P

C/O AMERICAN SUPERCONDUCTOR CORPORATION

X

President and CEO

64 JACKSON ROAD DEVENS, MA 01434

## **Signatures**

/s/ Daniel P. 05/10/2013

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares tendered to satisfy the reporting person's tax withholding obligation upon the vesting on May 09, 2013 of restricted stock awarded on October 25,2012; not an open market transaction.
- (2) Following all the transactions reported on this Form 4, the reporting person holds 546,435 shares directly.
- (3) Following all the transactions reported on this Form 4, the reporting person holds 4,996 shares indirectly through the company's 401(k) plan as of April 30, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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