**ASSURANT INC** Form 4 January 03, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction 1(b).

Check this box

if no longer

Section 16.

Form 4 or

Form 5

subject to

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* PENINGER MICHAEL J

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

(First) (Last) (Middle) ASSURANT INC [AIZ] 3. Date of Earliest Transaction

(Check all applicable)

ASSURANT, INC., ONE CHASE

(Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title below)

12/31/2012

below) EVP, CFO

MANHATTAN PLAZA, 41ST **FLOOR** 

> (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10005

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Se	ecuriti	es Acquir	red, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities a poor Disposed of (Instr. 3, 4 an	of (D)	ed (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2012		M M	15,745.91	A	\$ 22	184,743.5462	D	
Common Stock	12/31/2012		D	9,982.91	D	\$ 34.7	174,760.6362	D	
Common Stock	12/31/2012		F	2,900	D	\$ 34.7	171,860.6362	D	
Common Stock	12/31/2012		M	23,645.31	A	\$ 33.45	195,505.9462	D	
Common	12/31/2012		D	22,793.31	D	\$ 34.7	172,712.6362	D	

#### Edgar Filing: ASSURANT INC - Form 4

Common Stock 12/31/2012 F 429 D  $$34.7 \frac{172,283.6362}{(1)(2)}$  D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N S
Stock Appreciation Right	\$ 22	12/31/2012		M		15,745.91	12/31/2005	01/01/2013	Common Stock	1
Stock Appreciation Right	\$ 33.45	12/31/2012		M		23,645.31	12/31/2005	01/01/2013	Common Stock	2

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

PENINGER MICHAEL J ASSURANT, INC. ONE CHASE MANHATTAN PLAZA, 41ST FLOOR NEW YORK, NY 10005

EVP, CFO

### **Signatures**

Lisa Richter 01/03/2013 Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,286 shares, net of tax withholding (rounded to the nearest whole share) granted to Reporting Person pursuant to exercise of these SARs.

Reporting Owners 2

#### Edgar Filing: ASSURANT INC - Form 4

#### (2) Includes restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.