### Edgar Filing: Cappel Markus J. - Form 4

Cappel Mar Form 4	kus J.										
November 2	26, 2012										
FORM		статгс	SECU	DITIES	A ND FY	СЦ	ANCE CO	OMMISSION		PROVAL	
	UNITED	SIAIES						JIVIIVIISSIUN	OMB Number:	3235-0287	
Check ti if no lor subject to Section Form 4 Form 5 obligation	Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section						Expires: Estimated a burden hour response				
<i>See</i> Instruction 1(b). 30(h) of the Investment Company Act of 1940											
(Print or Type Responses)											
Cappel Markus J. Symbo				er Name <b>an</b>			0	5. Relationship of Reporting Person(s) to Issuer			
(1+)					Inc. [CC	-		(Check all applicable)			
(Last)	(First) (I	Middle)		of Earliest 7 Day/Year)	Transaction	1		Director	10%	Owner	
C/O CHEN MAUDE A		1/21/2012 —X_				X Officer (give below) Chief Bus.	er (give title Other (specify below) ef Bus. Officer & Treasurer				
	(Street)			endment, D	-	al		6. Individual or Joi	nt/Group Filin	g(Check	
Filed(Mo MOUNTAIN VIEW, CA 94043				Form filed by				_X_ Form filed by O	One Reporting Person More than One Reporting		
(City)	(State)	(Zip)	Tah	de I - Non-	Derivativ	e Secu		ired, Disposed of,	or Beneficiall	lv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	ed Date, if	3.	4. Securi omr Dispo (Instr. 3,	ities A sed of 4 and	cquired (A)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						(A) or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	11/21/2012			Code V M	Amount 1,393	(D) A	Price \$ 4.3	76,393	D		
Common Stock	11/21/2012			S <u>(1)</u>	1,393	D	\$ 11.9006 (2)	75,000	D		
Common Stock	11/23/2012			М	390	A	\$ 4.3	75,390	D		
Common Stock	11/23/2012			S <u>(1)</u>	390	D	\$ 11.9423 (3)	75,000	D		
	11/23/2012			М	405	А	\$ 0.6	75,405	D		

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Common Stock											
Common Stock	11/23/201	2	S <u>(1)</u> 4	05	D	\$ 12.1	75,000	D			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)											
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V (	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 4.3	11/21/2012		М		1,393	<u>(4)</u>	02/06/2017	Common Stock	1,393	
Employee Stock Option (right to buy)	\$ 4.3	11/23/2012		М		390	<u>(6)</u>	02/06/2017	Common Stock	390	
Employee Stock Option (right to buy)	\$ 0.6	11/23/2012		М		405	<u>(6)</u>	05/13/2014	Common Stock	405	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

**Reporting Owners** 

8 I S () Chief Bus. Officer & Treasurer

Cappel Markus J. C/O CHEMOCENTRYX, INC. 850 MAUDE AVENUE MOUNTAIN VIEW, CA 94043

### Signatures

/s/ Susan M. Kanaya, as Attorney-in-Fact

11/26/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- Reflects the weighted average sale price of the shares sold. The shares were sold in multiple trades at prices ranging from \$11.84 to
   (2) \$12.07 per share. The Reporting Person will provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

Reflects the weighted average sale price of the shares sold. The shares were sold in multiple trades at prices ranging from \$11.85 to(3) \$12.00 per share. The Reporting Person will provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

- (4) As of November 21, 2012 and prior to this transaction, the option fully vested.
- (5) Not applicable.
- (6) As of November 23, 2012 and prior to this transaction, the option fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.