ALLISON JOHN W

Form 4 July 09, 2009

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

HOME BANCSHARES INC

Symbol

[HOMB]

1(b).

(Print or Type Responses)

**ALLISON JOHN W** 

1. Name and Address of Reporting Person \*

may continue.

See Instruction

			3. Date of Earliest Transaction (Month/Day/Year)					X DirectorX 10% OwnerX Officer (give title Other (specify below)				
P.O. BOX 9	966	07	07/09/2009					Chairman and CEO				
	(Street) 4. If Amendment, Date Ori Filed(Month/Day/Year)				e Origina	l		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CONWAY,	, AR 72033							Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution D any (Month/Day,	Date, if Tra Co y/Year) (In	ransaction ode nstr. 8) ode V	4. Securin (A) or Di (D) (Instr. 3,	spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Stock	07/09/2009		N	M	2,090	A	5.69	2,281,050	D			
Common Stock								15,302	I	By Capital Buyers		
Common Stock								3,699	I	By IRA		
Common Stock								194,400	I	By wife		
Common Stock								99,293.5347 (12)	I	By wife as custodian for son		

#### Edgar Filing: ALLISON JOHN W - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Stock Option	\$ 5.69	07/09/2009		M		2,090	(10)	(10)	Common Stock	2,090
Performance Stock Option	\$ 12.2						01/01/2010	03/13/2016	Common Stock	26,95
Stock Option	\$ 6.79						(2)	(2)	Common Stock	6,480
Stock Option	\$ 7.71						(3)	(3)	Common Stock	1,620
Stock Option	\$ 8.64						<u>(4)</u>	<u>(4)</u>	Common Stock	1,620
Stock Option	\$ 9.26						<u>(5)</u>	<u>(5)</u>	Common Stock	1,620
Stock Option	\$ 10.81						<u>(6)</u>	<u>(6)</u>	Common Stock	1,620
Stock Option	\$ 11.73						<u>(7)</u>	<u>(7)</u>	Common Stock	1,620
Stock Option	\$ 11.73						07/27/2005	07/27/2015	Common Stock	81,00
Stock Option	\$ 10.5						(8)	(8)	Common Stock	9,162
Stock Option	\$ 22.36						<u>(9)</u>	01/18/2017	Common Stock	21,60
Stock Option	\$ 18.78						<u>(1)</u>	01/17/2018	Common Stock	16,20

Stock Option \$ 18.93 \(\frac{(11)}{Stock} \) 01/09/2018 \(\frac{Common}{Stock} \) 2,160

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

ALLISON JOHN W P.O. BOX 966

X X Chairman and CEO

Relationships

CONWAY, AR 72033

## **Signatures**

/s/John W. Allison by Rachel Starkey

07/09/2009

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in five equal annual installments beginning on January 18, 2009.
- The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2000 and expires on December 31, 2010.
- (3) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2001 and expires on December 31, 2011.
- (4) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and expires on December 31, 2012.
- (5) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and expires on December 31, 2013.
- The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and expires on December 31, 2014.
- (7) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.
- (8) 1,309 options have an expiration date of December 31, 2013 and 1,571 options have an expiration date of December 31, 2014. The remaining 6,282 options vested on January 1, 2005 with an expiration date of January 1, 2015.
- (9) The option became exercisable in two equal annual installments beginning on January 19, 2008.
- (10) 2,090 options have an expiration date of 12/31/2009 and 836 options have an expiration date of 3/31/2011. The remaining 146 options have an expiration date of 3/20/2012.
- (11) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (12) Includes 283.6055 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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