## Edgar Filing: AMPCO PITTSBURGH CORP - Form 4/A

AMPCO PITTSBURGH CORP Form 4/A January 27, 2009 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Louis Berkman Investment CO Issuer Symbol AMPCO PITTSBURGH CORP [AP] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director X 10% Owner \_ Other (specify Officer (give title **300 NORTH 7TH STREET** 09/03/2008 below) below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 09/05/2008 Form filed by More than One Reporting STEUBENVILLE, OH 43952 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 09/03/2008 S<sup>(1)</sup> 100 D 1,602,042 (2) D 41.31 Stock Common S 09/03/2008 S 100 D 1,601,942 D 41.39 Stock Common S 200 D 09/03/2008 1,601,742 D 40.31 Stock Common 09/03/2008 S 300 D 1,601,442 D 40 39 Stock Common S D 09/03/2008 100 1,601,342 D 41.51 Stock S 09/03/2008 100D 1,601,242 D

| Common<br>Stock |            |   |     |   | \$<br>41.23 |           |   |
|-----------------|------------|---|-----|---|-------------|-----------|---|
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>40.23 | 1,601,142 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.44 | 1,601,042 | D |
| Common<br>Stock | 09/03/2008 | S | 200 | D | \$<br>41.33 | 1,600,842 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>40.33 | 1,600,742 | D |
| Common<br>Stock | 09/03/2008 | S | 200 | D | \$<br>41.34 | 1,600,542 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.42 | 1,600,442 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.43 | 1,600,342 | D |
| Common<br>Stock | 09/03/2008 | S | 300 | D | \$<br>40.43 | 1,600,042 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.49 | 1,599,942 | D |
| Common<br>Stock | 09/03/2008 | S | 200 | D | \$<br>40.49 | 1,599,742 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>40.41 | 1,599,642 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.63 | 1,599,542 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.69 | 1,599,442 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.65 | 1,599,342 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.54 | 1,599,242 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$ 40.3     | 1,599,142 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>40.38 | 1,599,042 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>41.32 | 1,598,942 | D |
| Common<br>Stock | 09/03/2008 | S | 200 | D | \$<br>40.32 | 1,598,742 | D |
|                 | 09/03/2008 | S | 100 | D | \$ 41.3     | 1,598,642 | D |

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| Common<br>Stock |            |   |     |   |                       |   |
|-----------------|------------|---|-----|---|-----------------------|---|
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>40.38 1,598,542 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$<br>40.47 1,598,442 | D |
| Common<br>Stock | 09/03/2008 | S | 100 | D | \$ 40.3 1,598,342     | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>lying                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|---|--|---|--|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| Louis Berkman Investment CO<br>300 NORTH 7TH STREET<br>STEUBENVILLE, OH 43952 |               | Х         |         |       |  |  |  |
| Signatures  |               |           |         |       |  |  |  |
| /s/ Sean T. Peppard as attorney in fact                                       | 01/27/2009    |           |         |       |  |  |  |
| **Signature of Reporting Person   |               | Date      |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 19,
 (1) 2008(the "Plan"). The Plan was adopted to allow the Berkman family to satisfy liquidity and diversification objectives in connection with Mr. Berkman's estate planning.

On the reporting person's Form 4 reporting sales on 9/2/2008 and filed with the SEC on 9/4/2008 (the "9/2 Form 4"), the reporting person reported, in column 5, owning 1,603,842 shares following the transactions reflected in the 9/2 Form 4. Due to a clerical error, on the reporting person's Form 4 reporting sales on 9/3/2008 and filed with the SEC on 9/5/2008 (the "Original Form 4"), which form is being

(2) amended by this Form 4/A, the reporting person reported owning 1,611,142 prior to the transactions reported in the Original Form 4, which is 7,300 shares more than is reported in the 9/2 Form 4. From and after the occurrence of the clerical error, the reporting person's Form 4s have indicated that the reporting person owns 7,300 more shares than is accurate. The current balance, as of today's date, of shares beneficially owned is 1,493,942.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.