Rubicon Technology, Inc. Form 8-K December 04, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of

the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 1, 2015

RUBICON TECHNOLOGY, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction 001-33834 (Commission 36-4419301 (I.R.S. Employer

of incorporation)

File Number)

Identification No.)

900 East Green Street

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Bensenville, Illinois (Address of principal executive offices) (847) 295-7000

(Zip Code)

(Registrant s telephone number, including area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 1.01 Entry into a Material Definitive Agreement.

On December 1, 2015, Rubicon Technology, Inc. (the Company) and William F. Weissman entered into an amendment (the Amendment) to Mr. Weissman s Executive Employment Agreement, dated as of February 18, 2015 (the Employment Agreement). The Amendment clarifies that the stock options issued to Mr. Weissman under his Employment Agreement are subject to the limitations of the Company s 2007 Stock Incentive Plan.

The foregoing description of the Amendment does not purport to be complete and is qualified in its entirety by reference to the Amendment, which is attached hereto as Exhibit 10.1 and is incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

| Exhibit No. | Description |
|-------------|--|
| 10.1 | Amendment to Executive Employment Agreement by and between William F. Weissman and |
| | Rubicon Technology, Inc., dated as of December 1, 2015. |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: December 4, 2015

RUBICON TECHNOLOGY, INC.

By: /s/ Mardel A. Graffy Name: Mardel A. Graffy Title: Chief Financial Officer

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Exhibit Index

Exhibit No. Description

10.1 Amendment to Executive Employment Agreement by and between William F. Weissman and Rubicon Technology, Inc., dated as of December 1, 2015.