FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number <u>811-22058</u>

Nuveen Tax-Advantaged Dividend Growth Fund

(Exact name of registrant as specified in charter)

333 West Wacker Drive, Chicago, Illinois 60606

(Address of principal executive offices) (Zip Code)

Gifford R. Zimmerman - Chief Administrative Officer

(Name and address of agent for service)

Registrant s telephone number, including area code: 312-917-7700

Date of fiscal year-end: December 31

Date of reporting period: June 30, 2013

Item 1. Proxy Voting Record

Nuveen Tax-Advantage Dividend Growth Fund

| | | | | | | | | Management | Vote |
|---|------|-----------------------|----------------|---------------------------|------------------------|------------|--|-----------------------|--------------------|
| Company Name Gladstone Investment | | Security ID 376546206 | Country USA | Meeting Date 09-Aug-12 | Meeting Type Annual | | Proposal Elect Director Terry L. Brubaker | Recommendation For | Instruction For |
| Corporation Gladstone Investment | GAIN | 376546206 | USA | 09-Aug-12 | Annual | Management | Elect Director David A.R. Dullum | For | For |
| Corporation Gladstone Investment Corporation | GAIN | 376546206 | USA | 09-Aug-12 | Annual | Management | Elect Director John Reilly | For | For |
| Gladstone Investment Corporation | GAIN | 376546206 | USA | 09-Aug-12 | Annual | Management | Approve Sale of Common Shares Below Net Asset Value | For | For |
| Gladstone Investment Corporation | GAIN | 376546206 | USA | 09-Aug-12 | Annual | Management | Ratify Auditors | For | For |
| H. J. Heinz Finance Co | | 42307T306 | USA | 19-Sep-12 | Annual | Management | Elect Director Leonard A. Cullo, Jr. | For | For |
| H. J. Heinz Finance Co | | 42307T306 | USA | 19-Sep-12 | Annual | Management | Elect Director Edward J. McMenamin | For | For |
| H. J. Heinz Finance Co | | 42307T306 | USA | 19-Sep-12 | Annual | Management | Elect Director Arthur B. Winkleblack | For | For |
| H. J. Heinz Finance Co | | 42307T306 | USA | 19-Sep-12 | Annual | Management | Elect Independent Director Andrew L. Stidd | For | For |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director J. Raleigh Bailes, Sr. | For | For |
| Magnum Hunter Resources | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Brad Bynum | For | For |
| Corporation Magnum Hunter Resources | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Victor G. Carrillo | For | For |
| Corporation Magnum Hunter Resources | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Gary C. Evans | For | For |
| Corporation Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Stephen C. Hurley | For | For |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Joe L. McClaugherty | For | For |

| Magnum Hunter Resources | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Ronald D. Ormand | For | For |
|---|-----|-----------|-----|-----------|---------|------------|--|-----|---------|
| Corporation Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Steven A. Pfeifer | For | For |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Elect Director Jeff Swanson | For | For |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Ratify Auditors | For | For |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Increase Authorized Common Stock | For | For |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Increase Authorized Preferred Stock | For | For |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Amend Omnibus Stock Plan | For | Against |
| Magnum Hunter Resources Corporation | MHR | 55973B201 | USA | 17-Jan-13 | Annual | Management | Adjourn Meeting | For | Against |
| BB&T Corporation | BBT | 054937206 | USA | 23-Apr-13 | Special | Management | Amend Articles of Incorporation of BB&T to Change the Payment Dates of its Preferred Stock Dividends to Conform with the Payment Date of its Common Stock Dividends and Conform Preferred Stock Record Dates | For | For |
| BB&T Corporation | BBT | 054937206 | USA | 23-Apr-13 | Special | Management | Adjourn Meeting | For | For |
| The Gabelli Equity Trust Inc. | GAB | 362397861 | USA | 13-May-13 | Annual | | Elect Director Mario J. Gabelli | For | For |
| The Gabelli Equity Trust Inc. | GAB | 362397861 | USA | 13-May-13 | Annual | Management | Elect Director Arthur V. Ferrara | For | For |
| The Gabelli Equity Trust Inc. | GAB | 362397861 | USA | 13-May-13 | Annual | Management | Elect Director William F. Heitmann | For | For |

Nuveen Tax-Advantage Dividend Growth Fund

| | | | | | Meeting | | | Management | Vote |
|--|--------------|-----------------------|----------------|------------------------|---------|-------------------------|---|-----------------------|--------------------|
| Company Name The Coca-Cola Company | Ticker KO | Security ID 191216100 | Country USA | Meeting Date 10-Jul-12 | | Proponent Management | Proposal Approve Stock Split | Recommendation For | Instruction For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Accept Financial Statements and | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Statutory Reports Re-elect Gerard Kleisterlee as Director | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Re-elect Stephen Pusey as Director | For | For |
| Vodafone Group plc | | | _ | | | _ | Re-elect Renee James as Director | For | For |
| Vodafone Group plc | | | United Kingdom | | | _ | Re-elect Alan Jebson as Director | For | For |
| Vodafone Group plc | | | United Kingdom | | | _ | Jonah as Director | For | For |
| Vodafone Group plc | | | _ | | | _ | Re-elect Nick Land as Director | | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Re-elect Anne Lauvergeon as Director | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Re-elect Anthony Watson as Director | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Re-elect Philip Yea as Director | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Approve Final Dividend | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Approve Remuneration Report | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Reappoint Deloitte LLP as Auditors | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Authorise the Audit and Risk Committee to Fix Remuneration of Auditors | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Authorise Issue of Equity with Pre-emptive Rights | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Authorise Issue of Equity without Pre-emptive Rights | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Authorise Market Purchase of Ordinary Shares | For | For |
| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Authorise EU Political Donations and | For | For |

| Vodafone Group plc | VOD | 92857W209 | United Kingdom | 24-Jul-12 | Annual | Management | Expenditure Authorise the Company to Call EGM with Two Weeks Notice | For | For |
|---|------|-----------|----------------|-----------|---------|------------|--|-----|-----|
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Elect Director Steve Sanghi | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Elect Director Albert J. Hugo-Martinez | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Elect Director L.B. Day | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Elect Director Matthew W. Chapman | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Elect Director Wade F. Meyercord | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Amend Omnibus Stock Plan | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Approve Conversion of Securities | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Ratify Auditors | For | For |
| Microchip Technology Incorporated | MCHP | 595017104 | USA | 17-Aug-12 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Open Meeting | | |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Receive Announcements Re: Customer Co-Investment Program | | |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Grant Board Authority to Issue Shares Up To 25 Percent of Issued Capital Re: Customer Co-Investment Program | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | • | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Amend Articles to Create New Share Class: Ordinary | For | For |
| ASML Holding | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Shares M Increase Par Value per Ordinary Share | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Approve Reduction in Share Capital by Decreasing Nominal | For | For |
| | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Value per Share | For | For |

| ASML Holding NV | | | | | | | Amend Articles Re: Consolidation of Ordinary Shares A at | | |
|----------------------|------|-----------|-------------|-----------|---------|------------|--|-----|---------|
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | an Exchange Ratio Amend Articles to Eliminate Two Ordinary Share Classes A and M and Convert into One Ordinary Share Class | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Authorize each Director and any Lawyer and Paralegals from De Brauw Blackstone Westbroek NV to Execute the Notarial Deeds of Amendments | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Grant Board Authority to Issue Shares Up To 5 Percent of Issued Capital and Restricting/Excluding Preemptive Rights | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Authorize Board to Exclude Preemptive Rights from Issuance under Item 6a | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Grant Board Authority to Issue Shares Up To 5 Percent in Case of Takeover/Merger and Restricting/Excluding Preemptive Rights | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Authorize Board to Exclude Preemptive Rights from Issuance under Item 6c | For | For |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Other Business (Non-Voting) | | |
| ASML Holding NV | ASML | N07059186 | Netherlands | 07-Sep-12 | Special | Management | Close Meeting | | |
| Eaton Corporation | ETN | 278058102 | USA | 26-Oct-12 | Special | Management | Approve Merger Agreement | For | For |
| Eaton Corporation | ETN | 278058102 | USA | 26-Oct-12 | Special | Management | Approve Reduction of Capital of New Eaton to Allow Creation of Distributable Reserves of New Eaton which are Required Under Irish Law to Allow New Eaton to Make Distributions and to Pay Dividends and Repurchase or Redeem Shares | | For |
| Eaton Corporation | ETN | 278058102 | USA | | - | _ | Advisory Vote on Golden Parachutes | For | Against |
| Eaton Corporation | ETN | 278058102 | USA | 26-Oct-12 | Special | Management | Adjourn Meeting | For | For |
| | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | | For | For |

Microsoft Corporation Elect Director Steven A. Ballmer

| _ | | | | | | | | | |
|-----------------------------------|------|-----------|-----------|-----------|--------|--------------|---|---------|-----|
| Microsoft | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Elect Director | For | For |
| Corporation Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Dina Dublon Elect Director William | For | For |
| F | | | | | | | H. Gates, III | | |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Elect Director Maria M. Klawe | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Elect Director Stephen J. Luczo | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Elect Director David F. Marquardt | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Elect Director Charles H. Noski | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Elect Director Helmut Panke | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Elect Director John | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | W. Thompson Advisory Vote to Ratify Named Executive Officers | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Compensation Approve Qualified Employee Stock | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Management | Purchase Plan Ratify Auditors | For | For |
| Microsoft Corporation | MSFT | 594918104 | USA | 28-Nov-12 | Annual | Share Holder | Provide for Cumulative Voting | Against | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Accept the Financial Statements and Statutory Reports for BHP Billiton Ltd and BHP Billiton Plc | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Elect Pat Davies as Director of BHP Billiton Ltd and BHP Billiton Plc | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Elect Malcolm Broomhead as Director of BHP Billiton Ltd and BHP Billiton Plc | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | | For | For |

| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Elect David Crawford as Director of BHP Billiton Ltd and BHP Billiton Plc | For | For |
|-------------------------|-----|-----------|-----------|-----------|--------|------------|--|-----|-----|
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Elect Carolyn Hewson as Director of BHP Billiton Ltd and BHP Billiton Plc | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Elect Lindsay Maxsted as Director of BHP Billiton Ltd and | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | BHP Billiton Plc Elect Wayne Murdy as Director of BHP Billiton Ltd and | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Rumble as Director of BHP Billiton Ltd and | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | BHP Billiton Plc Elect John Schubert as Director of BHP Billiton Ltd and BHP Billiton Plc | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Elect Jac Nasser as Director of BHP Billiton Ltd and BHP Billiton Plc | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Approve the Authority to Issue Shares in BHP | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Billiton Plc Approve the Authority to Issue Shares in BHP Billiton Plc for Cash | For | For |
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | | For | For |

| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Remuneration | For | For |
|--------------------------------|-----|-----------|-------------------|-----------|---------|------------|--|-----|-----|
| BHP Billiton Limited | ВНР | 088606108 | Australia | 29-Nov-12 | Annual | Management | Report Approve the Grant of Long Term Incentive Performance Shares to Marius Kloppers, Executive Director of the Company | For | For |
| WPP plc | WPP | 92933H101 | United Kingdom | 11-Dec-12 | Special | Management | Approve Scheme of Arrangement | For | For |
| WPP plc | WPP | 92933H101 | United Kingdom | 11-Dec-12 | Special | Management | Approve Matters Relating to Creation of a New Jersey Incorporated and United Kingdom Tax Resident | For | For |
| WPP plc | WPP | 92933H101 | United Kingdom | 11-Dec-12 | Special | Management | Parent Company Approve the New WPP Reduction of Capital | For | For |
| WPP plc | WPP | 92933H101 | United Kingdom | 11-Dec-12 | Special | Management | - | For | For |
| Westpac Banking Corporation | WBC | 961214301 | Australia | 13-Dec-12 | Annual | Management | Approve the Adoption of the Remuneration Report | For | For |
| Westpac Banking Corporation | WBC | 961214301 | Australia | 13-Dec-12 | Annual | Management | | For | For |
| Westpac Banking Corporation | WBC | 961214301 | Australia | 13-Dec-12 | Annual | Management | Elect Robert Elstone as a Director | For | For |
| Westpac Banking Corporation | WBC | 961214301 | Australia | 13-Dec-12 | Annual | Management | Approve the Amendments to the Company s Constitution Relating to Preference Shares | For | For |
| Westpac Banking Corporation | WBC | 961214301 | Australia | 13-Dec-12 | Annual | Management | Approve the Amendments to the Company's Constitution Relating to General Meeting, Meetings of Directors, and Other Matters | For | For |
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | Elect Director C. A. H. Boersig | For | For |
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | Elect Director J. B. Bolten | For | For |
| 20. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | 2. 2010ii | For | For |

| Emerson Electric Co. | | | | | | | Elect Director M. S. Levatich | | |
|----------------------|------|-----------|-------------|-----------|--------|--------------|---|---------|-----|
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | Elect Director R. L. Stephenson | For | For |
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | Elect Director A.A. Busch, III | For | For |
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | Ratify Auditors | For | For |
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Management | Declassify the Board of Directors | For | For |
| Emerson Electric Co. | EMR | 291011104 | USA | 05-Feb-13 | Annual | Share Holder | | Against | For |
| Novartis AG | NOVN | 66987V109 | Switzerland | 22-Feb-13 | Annual | Management | Accept Financial Statements and Statutory Reports | For | For |
| Novartis AG | NOVN | 66987V109 | Switzerland | 22-Feb-13 | Annual | Management | | For | For |
| Novartis AG | NOVN | 66987V109 | Switzerland | 22-Feb-13 | Annual | Management | Approve Allocation of Income and Dividends of CHF 2.30 per Share | For | For |
| Novartis AG | NOVN | 66987V109 | Switzerland | 22-Feb-13 | Annual | Management | | For | For |
| Novartis AG | NOVN | 66987V109 | Switzerland | 22-Feb-13 | Annual | Management | Elect Verena Briner as Director | For | For |
| Novartis AG | NOVN | 66987V109 | Switzerland | 22-Feb-13 | Annual | Management | Elect Joerg Reinhardt as Director | For | For |

| Novartis AG | NOVN 66 | 6987V109 | Switzerland | 22-Feb-13 | Annual | Management | Elect Charles Sawyers as Director | For | For |
|--------------------------|---------|----------|-------------|-----------|--------|--------------|---|---------|---------|
| Novartis AG | NOVN 66 | 6987V109 | Switzerland | 22-Feb-13 | Annual | Management | Elect William Winters as Director | For | For |
| Novartis AG | NOVN 66 | 5987V109 | Switzerland | 22-Feb-13 | Annual | Management | | For | For |
| Novartis AG | NOVN 66 | 5987V109 | Switzerland | 22-Feb-13 | Annual | Management | Additional And/or Counter-proposals Presented At The Meeting | For | Against |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Management | Elect Director William Campbell | For | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Management | Elect Director Timothy Cook | For | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Management | Elect Director Millard Drexler | For | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27 Feb 13 | Annual | Managament | Elect Director Al Gore | For | For |
| | | 37833100 | | | | | | | |
| Apple Inc. | | | USA | | | _ | Elect Director Robert Iger | For | For |
| Apple Inc. | | 37833100 | USA | | | | Elect Director Andrea Jung | For | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Management | Elect Director Arthur Levinson | For | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Management | Elect Director Ronald Sugar | For | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Management | Amend Articles of Incorporation | For | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Management | Ratify Auditors | For | For |
| Apple Inc. | | 37833100 | USA | | | | Advisory Vote to Ratify Named Executive Officers Compensation | For | Against |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Share Holder | Stock Retention/Holding Period | Against | For |
| Apple Inc. | AAPL 03 | 37833100 | USA | 27-Feb-13 | Annual | Share Holder | Establish Board Committee on Human Rights | Against | Against |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Barbara T. Alexander | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Donald G. Cruickshank | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Raymond V. Dittamore | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Susan Hockfield | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Thomas W. Horton | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Paul E. Jacobs | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Sherry Lansing | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | • | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Francisco Ros | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Brent Scowcroft | For | For |
| QUALCOMM Incorporated | QCOM 74 | 47525103 | USA | 05-Mar-13 | Annual | Management | Elect Director Marc I. Stern | For | For |

| QUALCOMM Incorporated | QCOM | 747525103 | USA | 05-Mar-13 | Annual | Management | Amend Omnibus Stock Plan | For | For |
|--|------|-----------|-------|-----------|--------|------------|--|-----|---------|
| QUALCOMM | QCOM | 747525103 | USA | 05-Mar-13 | Annual | Management | Ratify Auditors | For | For |
| Incorporated QUALCOMM Incorporated | QCOM | 747525103 | USA | 05-Mar-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Approve Allocation of Income, with a Final Dividend of JPY 70 | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Mitarai, Fujio | For | Against |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Tanaka, Toshizo | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Ikoma, Toshiaki | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Watanabe, Kunio | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Adachi, Yoroku | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Mitsuhashi, Yasuo | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Matsumoto, Shigeyuki | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Homma, Toshio | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Nakaoka, Masaki | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Honda, Haruhisa | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Ozawa, Hideki | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Maeda, Masaya | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Tani, Yasuhiro | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Araki, Makoto | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Suematsu, Hiroyuki | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Uzawa, Shigeyuki | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Nagasawa, Kenichi | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Otsuka, Naoji | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Yamada, Masanori | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Wakiya, Aitake | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Elect Director Ono, Kazuto | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Approve Special Payments in Connection with Abolition of Retirement Bonus System | For | Against |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | Approve Adjustment to Aggregate Compensation Ceiling for Directors | For | For |
| Canon Inc. | 7751 | 138006309 | Japan | 28-Mar-13 | Annual | Management | _ | For | For |

| | | | | | | | Approve Annual Bonus Payment to Directors | | |
|----------------------|------|-----------|--------|-----------|--------|------------|--|-----|-----|
| The Toronto-Dominion | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director William E. Bennett | For | For |
| Bank | TDD. | 001160500 | G 1 | 04.4.10 | . 1 | 3.5 | EL (D') II LI | Б | _ |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director Hugh J. | For | For |
| Toronto-Dominion | | | | | | | Bolton | | |
| Bank | | | | | | | | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director John L. | For | For |
| Toronto-Dominion | | | | | | | Bragg | | |
| Bank | | | | | | | | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director Amy W. | For | For |
| Toronto-Dominion | | | | • | | 2 | Brinkley | | |
| Bank | | | | | | | • | | |

| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director | For | For |
|------------------|------|-----------|---------|-----------|------------|--------------|----------------------------|---------|---------|
| Toronto-Dominion | | | | | | | W. Edmund | | |
| Bank | | | | | | | Clark | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director | For | For |
| Toronto-Dominion | | | | | | | Colleen A. | | |
| Bank | | | | | | | Goggins | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director | For | For |
| Toronto-Dominion | | | | • | | C | Henry H. | | |
| Bank | | | | | | | Ketcham | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director | For | For |
| Toronto-Dominion | | | | • | | C | Brian M. | | |
| Bank | | | | | | | Levitt | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director | For | For |
| Toronto-Dominion | | | | | | | Harold H. | | |
| Bank | | | | | | | MacKay | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director | For | For |
| Toronto-Dominion | 12 | 0)110020) | Cunada | 0.11pr 13 | 7 11111441 | Management | Karen E. | 101 | 101 |
| Bank | | | | | | | Maidment | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Elect Director | For | For |
| Toronto-Dominion | ID | 091100309 | Canada | 04-Apr-13 | Aiiiiuai | Management | Irene R. Miller | 101 | 101 |
| Bank | | | | | | | nene K. Willei | | |
| The | TD | 891160509 | Canada | 04 Apr 12 | Annual | Managamant | Elect Director | Eor | For |
| Toronto-Dominion | ID | 691100309 | Callada | 04-Apr-13 | Ailliuai | Management | Elect Director Nadir H. | For | гог |
| Bank | | | | | | | Mohamed | | |
| The | TD | 891160509 | Canada | 04 Amm 12 | A mmusal | Managamant | | Eo. | Eo. |
| | ID | 891100309 | Canada | 04-Apr-13 | Annuai | Management | | For | For |
| Toronto-Dominion | | | | | | | Wilbur J. | | |
| Bank | TTD. | 001160500 | G 1 | 04.4.12 | | 3.5 | Prezzano | E | Б |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annuai | Management | Elect Director | For | For |
| Toronto-Dominion | | | | | | | Helen K. | | |
| Bank | TTD. | 001160500 | G 1 | 04.4.12 | | 3.5 | Sinclair | E | F |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Ratify Ernst & | For | For |
| Toronto-Dominion | | | | | | | Young LLP as | | |
| Bank | TTD. | 001160500 | G 1 | 04.4.12 | | 3.5 | Auditors | E | F |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Management | Advisory Vote | For | For |
| Toronto-Dominion | | | | | | | on Executive | | |
| Bank | | | | | | | Compensation | | |
| TO I | mr. | 001160500 | G 1 | 04.4.10 | | G1 TT 11 | Approach | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | SP A: Increase | Against | Against |
| Toronto-Dominion | | | | | | | Disclosure of | | |
| Bank | | 004450700 | ~ · | | | | Pension Plans | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | _ | Against | Against |
| Toronto-Dominion | | | | | | | Policy for | | |
| Bank | | | | | | | Equitable | | |
| | | | | | | | Treatment | | |
| | | | | | | | under the | | |
| | | | | | | | Pension Plans | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | _ | Against | Against |
| Toronto-Dominion | | | | | | | an Internal Pay | | |
| Bank | | | | | | | Ratio | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | SP D: Adopt | Against | Against |
| Toronto-Dominion | | | | | | | Policy on | | |
| Bank | | | | | | | Gender | | |
| | | | | | | | Equality in | | |
| | | | | | | | Senior | | |
| | | | | | | | Management | | |
| | | | | | | | Positions | | |
| The | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | SP E: Publish | Against | Against |
| Toronto-Dominion | | | | • | | | Summary of | - | - |
| Bank | | | | | | | Annual | | |
| | | | | | | | Meetings and | | |
| | | | | | | | Questions | | |
| | | | | | | | - | | |

| The Toronto-Dominion Bank | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | Raised at Meetings SP F: Submit More Candidates For Election Than the Number of Vacancies on | Against | Against |
|---------------------------------|------|-----------|-------------|-----------|--------|--------------|---|---------|---------|
| The Toronto-Dominion Bank | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | the Board SP G: Advisory Vote on Executive Officers | Against | Against |
| The Toronto-Dominion Bank | TD | 891160509 | Canada | 04-Apr-13 | Annual | Share Holder | Compensation SP H: Set Maximum Amount of Employee Compensation | Against | Against |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Accept Financial Statements and Statutory Reports | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Approve Remuneration Report | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Approve Discharge of Board and Senior | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Management Approve Allocation of Income and Dividends of CHF 9.50 per Share | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Reelect Jacques Vincent as Director | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Elect Eleni Gabre-Madhin as Director | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Ratify Ernst & Young as Auditors | For | For |
| Syngenta AG | SYNN | 87160A100 | Switzerland | 23-Apr-13 | Annual | Management | Additional And/Or Counter Proposals Presented At The Meeting | For | Against |
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | | For | For |

| | | | | | | | Elect Director Richard T. Carucci | | |
|--------------------------|-----|-----------|-----|-----------|--------|------------|---|-----|-----|
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | Elect Director Juliana L. | For | For |
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | Chugg Elect Director George | For | For |
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | Fellows Elect Director Clarence Otis, | For | For |
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | Jr. Elect Director Matthew J. Shattock | For | For |
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | | For | For |
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | | For | For |
| VF Corporation | VFC | 918204108 | USA | 23-Apr-13 | Annual | Management | Ratify Auditors | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director John D. Baker, II | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Elaine L. Chao | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director John S. Chen | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Lloyd H. Dean | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Susan E. Engel | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | _ | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Donald M. James | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Cynthia H. | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Milligan Elect Director Federico F. Pena | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Howard V. Richardson | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Judith M. | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Runstad Elect Director Stephen W. | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Sanger Elect Director John G. Stumpf | For | For |

| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Elect Director Susan G. Swenson | For | For |
|--------------------------|-----|-----------|---------|-----------|--------|--------------|--|---------|---------|
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Amend Omnibus Stock Plan | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Management | Ratify Auditors | For | For |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Share Holder | Require Independent Board Chairman | Against | Against |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Share Holder | Report on Lobbying Payments and Policy | Against | Against |
| Wells Fargo & Company | WFC | 949746101 | USA | 23-Apr-13 | Annual | Share Holder | Review Fair Housing and Fair Lending Compliance | Against | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director George S. Barrett | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Todd M. Bluedorn | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Christopher M. Connor | For | For |

| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Michael J. | For | For |
|---------------------------------|-----|-----------|---------|-----------|--------|------------|--|-----|---------|
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Critelli Elect Director Alexander M. Cutler | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Charles E. Golden | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Linda A. Hill | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Arthur E. Johnson | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Ned C. Lautenbach | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Deborah L. McCoy | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Elect Director Gregory R. | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Page Elect Director Gerald B. | For | For |
| Eaton Corporation | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Smith Ratify Auditors | For | For |
| plc Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Bonus Plan Approve Executive Incentive | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Bonus Plan Advisory Vote to Ratify Named | For | For |
| | | | | | | | Executive Officers Compensation | | |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | Approval of Overseas Market Purchases of the Company Shares | For | For |
| Eaton Corporation plc | ETN | G29183103 | Ireland | 24-Apr-13 | Annual | Management | | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Elect Director Herbert A. Allen | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Elect Director Ronald W. | For | Against |
| | KO | 191216100 | USA | 24-Apr-13 | Annual | Management | ATION | For | For |

| The Coca-Cola Company | | | | | | | Elect Director Howard G. | | |
|--------------------------|------|-----------|-------------|-----------|--------|--------------|--|---------|---------|
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Buffett Elect Director Richard M. | For | For |
| The Coca-Cola Company | KO | 191216100 | USA | 24-Apr-13 | Annual | Management | Daley Elect Director Barry Diller | For | For |
| The Coca-Cola Company | KO | 191216100 | USA | 24-Apr-13 | Annual | Management | Elect Director Helene D. | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Gayle Elect Director Evan G. | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Greenberg Elect Director Alexis M. | For | For |
| The Coca-Cola Company | KO | 191216100 | USA | 24-Apr-13 | Annual | Management | Herman Elect Director Muhtar Kent | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Elect Director Robert A. Kotick | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Elect Director Maria Elena | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Lagomasino Elect Director Donald F. | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | McHenry Elect Director Sam Nunn | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Elect Director James D. | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Robinson, III Elect Director Peter V. | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Ueberroth Elect Director Jacob | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Wallenberg Ratify Auditors | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers | For | Against |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Management | Compensation Provide Right to Call Special | For | For |
| The Coca-Cola Company | КО | 191216100 | USA | 24-Apr-13 | Annual | Share Holder | Meeting Establish Board Committee on Human Rights | Against | Against |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Financial Statements and Statutory Reports | | |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | (Non-Voting) | For | For |

| | | | | | | | Accept Financial Statements and Statutory Reports | | |
|-------------------------------|------|-----------|-------------|-----------|--------|------------|--|-----|-----|
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | - | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Approve Allocation of Income and Dividends of CHF 0.68 per Share | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Approve Creation of CHF 206 Million Pool of Capital without Preemptive Rights | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Reelect Roger Agnelli as Director | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Reelect Louis Hughes as Director | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Reelect Michel de Rosen as Director | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Reelect Jacob Wallenberg as Director | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | | For | For |
| ABB Ltd. | ABBN | 000375204 | Switzerland | 25-Apr-13 | Annual | Management | Ratify Ernst & Young AG as Auditors | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director R. Denny Alexander | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director Carlos Alvarez | For | For |

| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director Royce S. Caldwell | For | For |
|-------------------------------|-----|-----------|-----|-----------|--------|------------|--|-----|-----|
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director Ruben M. Escobedo | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director Richard W. Evans, Jr. | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director Patrick B. Frost | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director David J. Haemisegger | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | U | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | • | For | For |

| Cullen/Frost | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director Ida Clement Steen | For | For |
|--|-----|-----------|-----|-----------|--------|--------------|---|---------|-----|
| Bankers, Inc. Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Elect Director Horace Wilkins, Jr. | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Amend Omnibus Stock Plan | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Ratify Auditors | For | For |
| Cullen/Frost Bankers, Inc. | CFR | 229899109 | USA | 25-Apr-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Dennis A. Ausiello | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director M. Anthony Burns | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director W. Don Cornwell | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Frances D. Fergusson | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director William H. Gray, III | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Helen H. Hobbs | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Constance J. Horner | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director James M. Kilts | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director George A. Lorch | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Suzanne Nora Johnson | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Ian C. Read | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Stephen W. Sanger | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Elect Director Marc Tessier-Lavigne | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Management | Ratify Auditors | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | | | Management | • | For | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Share Holder | Stock Retention/Holding Period | Against | For |
| Pfizer Inc. | PFE | 717081103 | USA | 25-Apr-13 | Annual | Share Holder | Provide Right to Act by Written Consent | Against | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Randall | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | L. Stephenson Elect Director Gilbert F. Amelio | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Reuben V. | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Anderson Elect Director James H. Blanchard | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Jaime Chico Pardo | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Scott T. Ford | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director James P. Kelly | For | For |

| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Jon C. Madonna | For | For |
|-------------|------|-----------|-------------------|-----------|--------|--------------|---|---------|---------|
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Michael B. McCallister | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director John B. McCoy | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Joyce M. Roche | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Matthew K. Rose | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Elect Director Laura D Andrea Tyson | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Ratify Auditors | For | For |
| AT&T Inc. | T | 00206R102 | USA | | | | Advisory Vote to Ratify | For | For |
| | | | | | | | Named Executive Officers Compensation | | |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Management | Amend Stock Purchase and Deferral Plan | For | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Share Holder | Report on Political Contributions | Against | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Share Holder | Report on Reducing Lead Battery Health Hazards | Against | For |
| AT&T Inc. | Т | 00206R102 | USA | 26-Apr-13 | Annual | Share Holder | Submit Severance Agreement (Change-in-Control) to Shareholder Vote | Against | For |
| AT&T Inc. | T | 00206R102 | USA | 26-Apr-13 | Annual | Share Holder | Require Independent Board Chairman | Against | Against |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Accept Financial Statements and Statutory Reports | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Approve Final Dividend | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Re-elect David Arculus as Director | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Re-elect Vivienne Cox as Director | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Re-elect Will Ethridge as Director | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Re-elect Robin Freestone as Director | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Re-elect Susan Fuhrman as Director | For | For |
| Pearson plc | | 705015105 | United Kingdom | - | | _ | Re-elect Ken Hydon as Director | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | - | | _ | Re-elect Josh Lewis as Director | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | • | | | Re-elect John Makinson as Director | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | - | | _ | Re-elect Glen Moreno as Director | | For |
| Pearson plc | PSON | | United Kingdom | - | | _ | Elect John Fallon as Director | For | For |
| Pearson plc | | 705015105 | United Kingdom | - | | _ | Approve Remuneration Report | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | | | Management | PricewaterhouseCoopers LLP as Auditors | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Authorise Board to Fix Remuneration of Auditors | For | For |

| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Authorise Issue of Equity with Pre-emptive Rights | For | For |
|-------------|------|-----------|-------------------|-----------|--------|------------|---|-----|-----|
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Authorise Issue of Equity without Pre-emptive Rights | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Authorise Market Purchase of Ordinary Shares | For | For |
| Pearson plc | PSON | 705015105 | United Kingdom | 26-Apr-13 | Annual | Management | Authorise the Company to Call EGM with Two Weeks Notice | For | For |

| PACCAR Inc | PCAR | 693718108 | USA | 29-Apr-13 | Annual | Management | Elect Director | For | For |
|--|------|-----------|-----|-----------|--------|--------------|---|---------|-----|
| PACCAR Inc | PCAR | 693718108 | USA | 29-Apr-13 | Annual | Management | Alison J. Carnwath Elect Director Luiz Kaufmann | For | For |
| PACCAR Inc | PCAR | 693718108 | USA | 29-Apr-13 | Annual | Management | Elect Director John M. Pigott | For | For |
| PACCAR Inc | PCAR | 693718108 | USA | 29-Apr-13 | Annual | Management | Elect Director Gregory | For | For |
| | | | | | | | M. E. Spierkel | | |
| PACCAR Inc | PCAR | 693718108 | USA | 29-Apr-13 | Annual | Share Holder | Declassify the Board of Directors | Against | For |
| PACCAR Inc | PCAR | 693718108 | USA | 29-Apr-13 | Annual | Share Holder | Reduce Supermajority Vote Requirement | Against | For |
| International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Alain J.P. Belda | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director William R. Brody | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Kenneth I. Chenault | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Michael L. Eskew | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director David N. Farr | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Shirley Ann Jackson | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Andrew N. Liveris | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director W. James McNerney, Jr. | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director James W. Owens | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Virginia M. Rometty | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Joan E. Spero | For | For |
| Corporation International Business | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Sidney Taurel | For | For |

| Machines Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Elect Director Lorenzo H. Zambrano | For | For |
|--|------------|------------------------|--------------------------|-----------|--------|--------------------------|---|------------|----------------|
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Ratify Auditors | For | For |
| Corporation International Business Machines | IBM | 459200101 | USA | 30-Apr-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers | For | For |
| Corporation International Business Machines Corporation | IBM | 459200101 | USA | 30-Apr-13 | Annual | Share Holder | Compensation Report on Lobbying Payments and Policy | Against | For |
| International Business Machines Corporation | IBM | 459200101 | USA | 30-Apr-13 | Annual | Share Holder | Provide Right to Act by Written Consent | Against | For |
| International Business Machines Corporation | IBM | 459200101 | USA | 30-Apr-13 | Annual | Share Holder | Require Independent Board Chairman | Against | For |
| International Business Machines Corporation | IBM | 459200101 | USA | 30-Apr-13 | Annual | Share Holder | Stock Retention/Holding Period | Against | For |
| TENARIS SA | TEN | 88031M109 | Luxembourg | 02-May-13 | Annual | Management | Receive and Approve Board s and Auditor s Reports | For | For |
| TENARIS SA | TEN | 88031M109 | Luxembourg | 02-May-13 | Annual | Management | • | For | For |
| TENARIS SA | TEN | 88031M109 | Luxembourg | 02-May-13 | Annual | Management | Approve Financial Statements | For | For |
| TENARIS SA | TEN | 88031M109 | Luxembourg | 02-May-13 | Annual | Management | Approve Allocation of Income and Dividends | For | For |
| TENARIS SA | TEN | 88031M109 | Luxembourg | 02-May-13 | Annual | Management | Approve Discharge of Directors | For | For |
| TENARIS SA TENARIS SA | TEN TEN | 88031M109 88031M109 | Luxembourg Luxembourg | | | Management Management | Remuneration of | For For | Against For |
| TENARIS SA | TEN | 88031M109 | Luxembourg | 02-May-13 | Annual | Management | Directors Approve Auditors and Authorize Board to Fix Their | For | For |
| TENARIS SA | TEN | 88031M109 | Luxembourg | 02-May-13 | Annual | Management | Remuneration Allow Electronic Distribution of Company Documents to Shareholders | For | For |
| AbbVie Inc. | ABBV | 00287Y109 | USA | 06-May-13 | Annual | Management | Elect Director William | For | For |

| AbbVie Inc. | ABBV | 00287Y109 | USA | 06-May-13 | Annual | Management | H.L. Burnside Elect Director Edward J. Rapp | For | For |
|-----------------------------|--------------|-----------|------------|-----------|--------|--------------|---|------------|------------|
| AbbVie Inc. | ABBV | 00287Y109 | USA | 06-May-13 | Annual | Management | Elect Director Roy S. Roberts | For | For |
| AbbVie Inc. AbbVie Inc. | ABBV ABBV | | USA USA | | | | Ratify Auditors Advisory Vote to Ratify Named Executive Officers | For For | For For |
| AbbVie Inc. | ABBV | 00287Y109 | USA | 06-May-13 | Annual | Management | Compensation Advisory Vote on Say on Pay Frequency | One Year | One Year |
| AbbVie Inc. | ABBV | 00287Y109 | USA | 06-May-13 | Annual | Management | Approve Omnibus Stock Plan | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director Gregory Q. Brown | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director William J. Bratton | For | Against |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director Kenneth C. Dahlberg | For | Against |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director David W. Dorman | For | Against |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director Michael V. Hayden | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director Judy C. Lewent | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director Anne R. | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Pramaggiore Elect Director Samuel C. Scott, III | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director Bradley E. Singer | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Elect Director John A. White | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | Against |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Management | Ratify Auditors | For | For |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Share Holder | Encourage Suppliers to Produce Sustainability Reports | Against | Against |
| Motorola Solutions, Inc. | MSI | 620076307 | USA | 06-May-13 | Annual | Share Holder | Report on Political Contributions | Against | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director Richard D. Kinder | For | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director C. Park Shaper | For | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director Steven J. Kean | For | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director Anthony W. Hall, Jr. | For | For |

| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director Deborah | For | For |
|-------------------------------------|-----|-----------|-----|-----------|--------|------------|--|-----|----------|
| Kinder Morgan, | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | A. Macdonald Elect Director | For | For |
| Inc. Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Michael Miller Elect Director Michael C. | For | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Morgan Elect Director Fayez Sarofim | For | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director Joel V. Staff | For | Withhold |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director John Stokes | For | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Elect Director Robert F. Vagt | For | For |
| Kinder Morgan, Inc. | KMI | 49456B101 | USA | 07-May-13 | Annual | Management | Ratify Auditors | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Harold Brown | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Mathis Cabiallavetta | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Andre Calantzopoulos | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Louis C. Camilleri | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Jennifer Li | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Graham Mackay | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Sergio Marchionne | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Kalpana Morparia | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Robert B. Polet | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Carlos Slim Helu | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Elect Director Stephen M. Wolf | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Ratify Auditors | For | For |
| Philip Morris International Inc. | PM | 718172109 | USA | 08-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive | For | For |

| | | | | | | | Officers Compensation | | |
|-----------------------------|------------|------------------------|------------|-----------|--------|--------------------------|--|------------|------------|
| Phillips 66 | PSX | 718546104 | USA | 08-May-13 | Annual | Management | Elect Director Greg C. Garland | For | For |
| Phillips 66 | PSX | 718546104 | USA | 08-May-13 | Annual | Management | | For | For |
| Phillips 66 Phillips 66 | PSX PSX | 718546104 718546104 | USA USA | | | Management Management | Ratify Auditors Approve Omnibus Stock Plan | For For | For For |
| Phillips 66 | PSX | 718546104 | USA | 08-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Phillips 66 | PSX | 718546104 | USA | 08-May-13 | Annual | Management | Advisory Vote on Say on Pay Frequency | One Year | One Year |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director C. David Brown II | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director David W. Dorman | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director Anne M. Finucane | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director Kristen Gibney Williams | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | • | | _ | Elect Director Larry J. Merlo | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director Jean-Pierre Millon | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director Richard J. Swift | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director William C. Weldon | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Elect Director Tony L. White | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Ratify Auditors | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | | For | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Management | Reduce Vote Requirement Under the Fair Price Provision | For | For |
| | CVS | 126650100 | USA | 09-May-13 | Annual | Share Holder | | Against | For |

| CVS Caremark Corporation | | | | | | | Report on Political Contributions | | |
|----------------------------------|-----|-----------|-----|-----------|--------|--------------|---|---------|-----|
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Share Holder | Pro-rata Vesting of Equity Awards | Against | For |
| CVS Caremark Corporation | CVS | 126650100 | USA | 09-May-13 | Annual | Share Holder | | Against | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director Robert E. Brunner | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director Ralph W. Clark | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director Robert G. Culp, III | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director R. Ted Enloe, III | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director Richard T. Fisher | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director Matthew C. | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Flanigan Elect Director Karl G. Glassman | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director David S. | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Haffner Elect Director Joseph W. | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | McClanathan Elect Director Judy C. Odom | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Elect Director Phoebe A. Wood | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Ratify Auditors | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers | For | For |
| Leggett & Platt, Incorporated | LEG | 524660107 | USA | 09-May-13 | Annual | Share Holder | Compensation Amend EEO Policy to Prohibit Discrimination based on Sexual Orientation and Gender Identity | Against | For |

| Lorillard, Inc. | LO | 544147101 | USA | 14-May-13 | Annual | Management | Declassify the Board of | For | For |
|------------------------------------|----------|------------------------|-----|-------------|----------|--------------|--|----------------|------------|
| Lorillard, Inc. | LO | 544147101 | USA | 14-May-13 | Annual | Management | Directors Elect Director Andrew H. | For | For |
| Lorillard, Inc. | LO | 544147101 | USA | 14-May-13 | Annual | Management | Card, Jr. Elect Director Virgis W. Colbert | For | For |
| Lorillard, Inc. | LO | 544147101 | USA | 14-May-13 | Annual | Management | | For | For |
| Lorillard, Inc. | LO | 544147101 | USA | 14-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers | For | For |
| Louilland Inc | 1.0 | 544147101 | USA | 14 May 12 | A marral | Managamant | Compensation Ratify Auditors | Eon | Eo. |
| Lorillard, Inc. Lorillard, Inc. | LO LO | 544147101 544147101 | USA | | | Share Holder | | For Against | For For |
| Lormard, nic. | LO | 344147101 | USA | 14-1vIay-13 | Alliluai | Share Holder | Lobbying Payments and Policy | Agamst | roi |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Elect Director Michael J. Cavanagh | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Elect Director Mirian M. Graddick-Weir | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Elect Director J. David Grissom | For | For |
| YUM! Brands, | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Elect Director | For | For |
| Inc. YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Bonnie G. Hill Elect Director Jonathan S. | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Linen Elect Director Thomas C. Nelson | For | For |
| YUM! Brands, | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Elect Director | For | For |
| Inc. YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | David C. Novak Elect Director Thomas M. | For | For |
| YUM! Brands, | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Ryan Elect Director | For | For |
| Inc. YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Jing-Shyh S. Su Elect Director Robert D. Walter | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Ratify Auditors | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | Advisory Vote to Ratify | For | For |

| | | | | | | | Named Executive Officers Compensation | | |
|--|-----|-----------|---------|-----------|--------|--------------|--|---------|---------|
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Management | | For | For |
| YUM! Brands, Inc. | YUM | 988498101 | USA | 15-May-13 | Annual | Share Holder | Adopt Comprehensive Packaging Recycling Strategy | Against | Against |
| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Receive Financial Statements and Statutory Reports for Fiscal 2012; Accept Financial Statements and Statutory Reports for Fiscal 2012 | For | For |
| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Approve Allocation of Income and Dividends of EUR 0.75 per Common Share and EUR 0.77 per Preference Share | For | For |
| Fresenius Medical Care AG & Co. KGaA | | 358029106 | Germany | 16-May-13 | Annual | Management | Approve Discharge of Personally Liable Partner for Fiscal 2012 | For | For |
| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Approve Discharge of Supervisory Board for Fiscal 2012 | For | For |
| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Ratify KPMG as Auditors for Fiscal 2013 | For | For |
| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Approve Conversion of Preference Shares into Ordinary Shares | For | For |
| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Amend Stock Option Plan and Conditional Capital Pool to Reflect Changes in Share Structure | For | For |
| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Approve Items 6 and 7 | For | For |

| Fresenius Medical Care AG & Co. KGaA | FME | 358029106 | Germany | 16-May-13 | Annual | Management | Amend Articles Re: AGM Participation and Voting Rights | For | For |
|--|-----|-----------|---------|-----------|--------|--------------|--|---------|---------|
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Carole Black | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Glenn A. Britt | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Thomas H. Castro | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director David C. Chang | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director James E. Copeland, Jr. | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Peter R. Haje | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Donna A. James | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Don Logan | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director N.J. Nicholas, Jr. | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Wayne H. Pace | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director Edward D. Shirley | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Elect Director John E. Sununu | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Ratify Auditors | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Share Holder | Report on Lobbying Payments and Policy | Against | Against |
| Time Warner Cable Inc. | TWC | 88732J207 | USA | 16-May-13 | Annual | Share Holder | Pro-rata Vesting of Equity Plan | Against | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director Andrew H. Card, Jr. | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director Erroll B. Davis, Jr. | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director Thomas J. Donohue | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director Archie W. Dunham | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | | For | For |

| | | | | | | | Hope | | |
|---------------|-----|-----------|-----|-----------|--------|------------|----------------|-----|-----|
| Union Pacific | UNP | 907818108 | USA | 16-May-13 | Annual | Management | | For | For |
| Corporation | | | | | | | John | | |
| | | 00=040400 | | | | | J. Koraleski | _ | _ |
| Union Pacific | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director | For | For |
| Corporation | | | | | | | Charles C. | | |
| | | | | | | | Krulak | _ | _ |
| Union Pacific | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director | For | For |
| Corporation | | | | | | | Michael | | |
| | | | | | | | R. McCarthy | | |
| Union Pacific | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director | For | For |
| Corporation | | | | | | | Michael | | |
| | | | | | | | W. McConnell | | |
| Union Pacific | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director | For | For |
| Corporation | | | | | | | Thomas F. | | |
| - | | | | | | | McLarty, III | | |
| | | | | | | | · · | | |

| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director | For | For |
|------------------------------|-----|-----------|--------|-----------|----------------|--------------|--|---------|---------|
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Steven R. Rogel Elect Director Jose H. Villarreal | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Elect Director James R. Young | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Ratify Auditors | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Management | Approve Omnibus Stock Plan | For | For |
| Union Pacific Corporation | UNP | 907818108 | USA | 16-May-13 | Annual | Share Holder | Report on Lobbying Payments and Policy | Against | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | Approve Financial Statements and Statutory Reports | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | Approve Allocation of Income and Dividends of EUR 2.34 per Share | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | Reelect Thierry Desmarest as Director | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | Reelect Gunnar Brock as Director | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | | | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | Authorize up to 0.75 Percent of Issued Capital for | For | Against |

| | | 5 | | | 3 | | | | |
|----------------------------------|-----|-----------|--------|-------------|--------------------|--------------|--|---------|---------|
| | | | | | | | Use in Stock Option Plan | | |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Management | Approve Employee Stock Purchase Plan | For | For |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Share Holder | Approve the Establishment of | Against | Against |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Share Holder | an Independent Ethics Committee Approve to Link Remuneration to | Against | Against |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Share Holder | Positive Safety Indicators Acquire the | Against | Against |
| | | | Trunce | 17 171aj 13 | 7 miliani, Speciar | Share Holder | Diversity Label | rigumst | rigamst |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Share Holder | Approve Nomination of Employees Representative to the Remuneration | Against | Against |
| Total SA | FP | 89151E109 | France | 17-May-13 | Annual/Special | Share Holder | Committee Allow Loyalty Dividends to Long-Term Registered Shareholders | Against | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Elect Director James A. Bell | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Elect Director Crandall C.Bowles | For | For |
| JPMorgan | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Elect Director | For | For |
| Chase & Co. JPMorgan | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Stephen B. Burke Elect Director | For | Against |
| Chase & Co. JPMorgan | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | David M. Cote Elect Director | For | Against |
| Chase & Co. JPMorgan | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | James S. Crown Elect Director | For | For |
| Chase & Co. JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | James Dimon Elect Director Timothy P. Flynn | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Elect Director Ellen V. Futter | For | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Elect Director Laban P. Jackson, Jr. | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Elect Director Lee R. Raymond | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Elect Director William C. Weldon | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Ratify Auditors | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Provide Right to Act by Written | For | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Management | Consent Amend Executive Incentive Bonus Plan | For | For |

| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Share Holder | Require Independent Board Chairman | Against | For |
|-------------------------|-----|-----------|-----|-----------|--------|--------------|--|---------|---------|
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Share Holder | Stock Retention/Holding | Against | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Share Holder | Period Institute Procedures to Prevent Investments in Companies that Contribute to Genocide or Crimes Against Humanity | Against | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | USA | 21-May-13 | Annual | Share Holder | Report on Lobbying Payments and Policy | Against | Against |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director James C. Day | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director Julie H. Edwards | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director William L. Ford | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director John W. Gibson | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director Bert H. Mackie | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director Steven J. Malcolm | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | _ | Elect Director Jim W. Mogg | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director Pattye L. Moore | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | C | Elect Director Gary D. Parker | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Elect Director Eduardo A. Rodriguez | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Ratify Auditors | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | | Amend Omnibus Stock Plan | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| ONEOK, Inc. | OKE | 682680103 | USA | 22-May-13 | Annual | Share Holder | Report on Methane Emissions | Against | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Sherry S. Barrat | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Robert M. Beall, II | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director James L. Camaren | For | For |

| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Kenneth B. Dunn | For | For |
|-------------------------|------|-----------|-------------------|-----------|--------|--------------|---|---------|---------|
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Lewis Hay, III | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Toni Jennings | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director James L. Robo | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Rudy E. Schupp | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director John L. Skolds | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director William H. Swanson | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Michael H. Thaman | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Elect Director Hansel E. Tookes, II | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Ratify Auditors | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Approve Executive Incentive Bonus Plan | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| NextEra Energy, Inc. | NEE | 65339F101 | USA | 23-May-13 | Annual | Share Holder | Minimize Pool Storage of Spent Nuclear Fuel | Against | Against |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Accept Financial Statements and Statutory Reports | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | * | For | For |
| HSBC Holdings | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect Safra Catz as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect Laura Cha as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect Marvin Cheung as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Elect Jim Comey as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect John Coombe as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect Joachim Faber as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | - | | _ | Re-elect Rona Fairhead as Director | | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Elect Renato Fassbind as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect Douglas Flint as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | - | | _ | Re-elect Stuart Gulliver as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect James Hughes-Hallett as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect William Laidlaw as Director | For | For |
| HSBC Holdings | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect John Lipsky as Director | For | For |
| - | HSBA | 404280406 | Č | 24-May-13 | Annual | Management | | For | For |

| HSBC Holdings plc | | | United Kingdom | | | | Re-elect Janis Lomax as Director | | |
|------------------------|-------|-------------|-------------------|-----------|--------|--------------|---|---------|---------|
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect Iain Mackay as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect Sir Simon Robertson as Director | For | For |
| HSBC Holdings | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Re-elect John Thornton as Director | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Reappoint KPMG Audit plc as Auditors and Authorise Their | For | For |
| HCDC H 11. | HCD A | 40.4280.406 | TT '4 1 | 24.24 12 | A 1 | M | Remuneration | Г | Г |
| HSBC Holdings plc | нзва | 404280400 | United Kingdom | 24-May-13 | Annuai | Management | Authorise Issue of Equity with Pre-emptive Rights | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | _ | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Authorise Market Purchase of Ordinary Shares | For | For |
| HSBC Holdings plc | HSBA | 404280406 | United Kingdom | 24-May-13 | Annual | Management | Authorise the Company to Call EGM with Two Weeks Notice | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Linnet F. Deily | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Robert E. Denham | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Alice P. Gast | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Enrique Hernandez, Jr. | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director George L. Kirkland | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Charles W. Moorman, IV | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Kevin W. Sharer | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director John G. Stumpf | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Ronald D. Sugar | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director Carl Ware | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Elect Director John S. Watson | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Ratify Auditors | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive | For | For |
| - | CNIN | 166764100 | 110.4 | 20.15 12 | | 3.6 | Officers Compensation | T. | Б |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Management | Amend Omnibus Stock Plan | For | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Report on Management of Hydraulic Fracturing | Against | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Risks and Opportunities Report on Offshore Oil Wells and Spill Mitigation Measures | Against | Against |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Report on Financial Risks of Climate Change | Against | Against |

| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Report on Lobbying Payments and Policy | Against | For |
|------------------------|-----|-----------|---------|-----------|--------|--------------|--|---------|---------|
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Prohibit Political Contributions | Against | Against |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Provide for Cumulative Voting | Against | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Amend Articles/Bylaws/Charter Call Special Meetings | Against | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Require Director Nominee with Environmental Expertise | Against | For |
| Chevron Corporation | CVX | 166764100 | USA | 29-May-13 | Annual | Share Holder | Adopt Guidelines for Country Selection | Against | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Receive Financial Statements and Statutory Reports for Fiscal 2012 (Non-Voting) | | |

| _ | | | | | | | | | |
|-----------------|-----|-----------|---------|-----------|--------|------------|--|-----|---------|
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Approve Allocation of Income and Dividends of EUR | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | 2.70 per Share Approve Discharge of Management Board for Fiscal 2012 | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Approve Discharge of Supervisory Board for Fiscal 2012 | | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Ratify KPMG as Auditors for Fiscal 2013 | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Approve Creation of EUR 47 Million Pool of Capital without Preemptive Rights | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds with Partial Exclusion of Preemptive Rights up to Aggregate Nominal Amount of EUR 3.5 Billion; Approve Creation of EUR 47 Million Pool of Capital to Guarantee Conversion Rights | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Approve Cancellation | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | of Conditional Capital New Elections to the Supervisory Board and Appointment of Reserve Members | For | Against |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Amend Corporate Purpose | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Amend Articles Re: Composition of the Board | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Amend Articles Re: Remuneration of Supervisory Board | For | For |
| Linde AG | LIN | 535223200 | Germany | 29-May-13 | Annual | Management | Amend Articles Re: Editorial Changes | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Elect Director Abdlatif Yousef Al-Hamad | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Elect Director Mathis | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Cabiallavetta Elect Director Dennis D. Dammerman | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Elect Director Jessica P. Einhorn | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Elect Director Fabrizio Freda | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | | For | For |

| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Elect Director James E. Rohr | For | For |
|---------------------------|-----|-----------|-----|-----------|--------|--------------|--|---------|---------|
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Elect Director Susan L. Wagner | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers | For | For |
| BlackRock, Inc. | BLK | 09247X101 | USA | 30-May-13 | Annual | Management | Compensation Ratify Auditors | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director James E. Cartwright | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director Vernon E. Clark | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director Stephen J. Hadley | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director Michael C. Ruettgers | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director Ronald L. Skates | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director William R. Spivey | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director Linda G. Stuntz | For | Against |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Elect Director William H. Swanson | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Management | Compensation Ratify Auditors | For | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Share Holder | Report on Political Contributions | Against | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Share Holder | Provide Right to Act by Written Consent | Against | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Share Holder | Submit SERP to Shareholder Vote | Against | For |
| Raytheon Company | RTN | 755111507 | USA | 30-May-13 | Annual | Share Holder | Pro-rata Vesting of Equity Awards | Against | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Raul Alvarez | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director David W. Bernauer | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Leonard L. Berry | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Peter C. Browning | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Richard W. Dreiling | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Dawn E. Hudson | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Robert L. Johnson | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Marshall O. Larsen | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Richard K. Lochridge | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | - | | _ | Elect Director Robert A. Niblock | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Elect Director Eric C. Wisemen | For | For |

| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Ratify Auditors | For | For |
|---------------------------|-----|-----------|---------|-----------|--------|--------------|---|---------|-----|
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Management | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Lowe s Companies, Inc. | LOW | 548661107 | USA | 31-May-13 | Annual | Share Holder | - | Against | For |
| SAP AG | SAP | 803054204 | Germany | 04-Jun-13 | Annual | Management | Receive Financial Statements and Statutory Reports for Fiscal 2012 (Non-Voting) | | |
| SAP AG | SAP | 803054204 | Germany | 04-Jun-13 | Annual | Management | Approve Allocation of Income and Dividends of EUR 0.85 per Share | For | For |
| SAP AG | SAP | 803054204 | Germany | 04-Jun-13 | Annual | Management | Approve Discharge of Management Board for Fiscal 2012 | For | For |
| SAP AG | SAP | 803054204 | Germany | 04-Jun-13 | Annual | Management | Approve Discharge of Supervisory Board for Fiscal 2012 | For | For |
| SAP AG | SAP | 803054204 | Germany | 04-Jun-13 | Annual | Management | Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares | For | For |
| SAP AG | SAP | 803054204 | Germany | 04-Jun-13 | Annual | Management | • | For | For |

| New York Community | NYCB | 649445103 | USA | 06-Jun-13 | Annual | Management | Elect Director Michael J. Levine | For | Against |
|--|------|-----------|-----|-----------|--------|--------------|--|---------|---------|
| Bancorp, Inc. New York Community Bancorp, Inc. | NYCB | 649445103 | USA | 06-Jun-13 | Annual | Management | Elect Director John M. Tsimbinos | For | For |
| New York Community Bancorp, Inc. | NYCB | 649445103 | USA | 06-Jun-13 | Annual | Management | Elect Director Ronald A. Rosenfeld | For | For |
| New York Community Bancorp, Inc. | NYCB | 649445103 | USA | 06-Jun-13 | Annual | Management | Elect Director Lawrence J. Savarese | For | For |
| New York Community Bancorp, Inc. | NYCB | 649445103 | USA | 06-Jun-13 | Annual | Management | Ratify Auditors | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director David L. Calhoun | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Daniel M. Dickinson | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Juan Gallardo | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director David R. Goode | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Jesse J. Greene, Jr. | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Jon M. Huntsman, Jr. | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Peter A. Magowan | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Dennis A. Muilenburg | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Douglas R. Oberhelman | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director William A. Osborn | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Charles D. Powell | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Edward B. Rust, Jr. | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Susan C. Schwab | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Joshua I. Smith | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Elect Director Miles D. White | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Management | Ratify Auditors | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | | | | Advisory Vote to Ratify Named Executive Officers Compensation | For | For |
| Caterpillar Inc. | CAT | 149123101 | USA | | | | Require a Majority Vote for the Election of Directors | Against | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Share Holder | Provide Right to Act by Written | Against | For |

| Caterpillar Inc. | CAT | 149123101 | USA | 12-Iun-13 | Annual | Share Holder | Consent Stock | Against | For |
|------------------|------|-------------|--------|-----------|--------|--------------|--|-----------|---------|
| Cutorprises and | 0.11 | 11,712,0101 | 0011 | 12 0 0 11 | 1 2222 | | Retention/Holding Period | - I Sumot | 101 |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Share Holder | Include Sustainability as a Performance Measure for Senior Executive | Against | Against |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Share Holder | Compensation Review and Assess Human Rights Policies | Against | For |
| Caterpillar Inc. | CAT | 149123101 | USA | 12-Jun-13 | Annual | Share Holder | Prohibit Sales to the Government of Sudan | Against | Against |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Accept Financial Statements and Statutory Reports | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Approve Final Dividend | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | - | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Colin Day as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Esther Dyson as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Orit Gadiesh as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Philip Lader as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Ruigang Li as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Mark Read as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Paul Richardson as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Jeffrey Rosen as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Timothy Shriver as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Re-elect Sir Martin Sorrell as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | - | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Elect Dr Jacques Aigrain as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Elect Hugo Shong as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Elect Sally Susman as Director | For | For |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Reappoint Deloitte LLP as Auditors and Authorise | For | For |

| | | | | | | | Their | | |
|---------------|------|-----------|--------|--------------------|----------|--------------|--------------------|------|------|
| | | | | | | | Remuneration | | |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Authorise Issue of | For | For |
| • | | | • | | | | Equity with | | |
| | | | | | | | Pre-emptive Rights | | |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Approve Executive | For | For |
| • | | | J | | | C | Performance Share | | |
| | | | | | | | Plan | | |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Authorise Market | For | For |
| • | | | • | | | | Purchase of | | |
| | | | | | | | Ordinary Shares | | |
| WPP plc | WPP | 92937A102 | Jersey | 12-Jun-13 | Annual | Management | Authorise Issue of | For | For |
| | | | | | | | Equity without | | |
| | | | | | | | Pre-emptive Rights | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21 Jun 13 | Annual | Managamant | Amend Articles To | For | For |
| Rubbita Corp. | 0320 | 301173207 | Japan | 21 -3 un-13 | Aiiiiuai | Management | Indemnify | 1.01 | 1 01 |
| | | | | | | | Directors and | | |
| | | | | | | | Statutory Auditors | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| racota corp. | 0020 | 0011,020, | oupun | 21 0001 10 | | Transagement | Masumoto, Yasuo | 101 | 1 01 |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| | | | | | | | Tomita, Tetsuji | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| • | | | | | | C | Sakamoto, Satoru | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| | | | | | | | Kimata, Masatoshi | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| | | | | | | | Kubo, Toshihiro | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| | | | | | | | Kimura, Shigeru | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| | | | | | | | Mizuno, Yuzuru | | |
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Elect Director | For | For |
| | | | | | | | Sato, Junichi | | |

| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | Appoint Statutory Auditor Kawachi, Masaharu | For | For |
|--------------|------|-----------|-------|-----------|--------|------------|---|-----|-----|
| Kubota Corp. | 6326 | 501173207 | Japan | 21-Jun-13 | Annual | Management | | For | For |

SIGNATURES:

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Nuveen Tax-Advantaged Dividend Growth Fund

By /s/ Gifford R. Zimmerman

Gifford R. Zimmerman Chief Administrative Officer

Date

August 19, 2013