#### BULL KENNETH R

Form 4

March 22, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BULL KENNETH R** 

2. Issuer Name and Ticker or Trading

Symbol

FIVE BELOW, INC [FIVE]

(Month/Day/Year)

3. Date of Earliest Transaction

03/20/2018

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O FIVE BELOW INC., 1818 MARKET STREET, SUITE 2000

(First)

(Street)

(State)

(Middle)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

below) CFO & Treasurer 6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PHILADELPHIA, PA 19103

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

(9-02)

10% Owner

Other (specify

(A)

Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Common 03/20/2018 Stock

\$0 M 8,186 (1)

67,430 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: BULL KENNETH R - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	03/20/2018		M	10,496 (3)	<u>(4)</u>	<u>(4)</u>	Common Stock	10,496	

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# **Reporting Owners**

Relationships					
Director	10% Owner	Officer	Other		
		CFO &			
		Treasurer			
	Director		Director 10% Owner Officer  CFO &		

# **Signatures**

/s/ Maureen Mulligan, as Attorney-In-Fact for Kenneth
R. Bull
03/22/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On March 20, 2018, a performance restricted stock unit award granted to the reporting person on March 10, 2015 vested with respect to 8,186 shares of Common Stock.
- (2) Each performance restricted stock unit represents the contingent right to receive one share of Five Below, Inc.'s common stock.
- The reporting person was eligible to receive from 0%-150% of the target number of performance restricted stock units, 6,997, based on the Company's achievement of specified adjusted operating income. The number indicated (10,496) represented the maximum number of shares issuable at the 150% achievement level. The actual level of attainment of the performance measure was 117%, entitling the reporting person to receive 8,186 shares of Common Stock.
- (4) These performance restricted stock units vested at the end of a three-year period following the grant date of March 10, 2015, based on the Company's achievement of specified adjusted operating income.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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