

CONNS INC
Form 4
July 19, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEPHENS WARREN A

(Last) (First) (Middle)
111 CENTER STREET
(Street)
LITTLE ROCK, AR 72201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CONNS INC [CONN]

3. Date of Earliest Transaction
(Month/Day/Year)
07/15/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|------------------------|---|--------------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 07/15/2016 | | P | | 285,000 | A | \$ 7.1 | 385,350 | I | By Warren A. Stephens Grantors Trust |
| Common Stock | 07/15/2016 | | P | | 285,000 | A | \$ 7.1 | 285,000 | I | By Warren A. Stephens Roth IRA |
| Common Stock | 07/15/2016 | | P | | 430,000 | A | \$ 7.1 | 430,000 | I | By WAS Family Trust One |
| Common Stock | | | | | | | | 183,283 ⁽¹⁾ | I | By Harriet C. Stephens |

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| | | | |
|--------------|------------------------|---|---|
| Common Stock | 500,000 ⁽²⁾ | I | Trust By Paula W. and John P. Calhoun Family Trust |
| Common Stock | 2,727,920 | I | By Stephens Investments Holdings LLC |
| Common Stock | 931,038 | I | By Warren and Harriet Stephens Childrens Trust |
| Common Stock | 82,430 | I | By Stephens Inc. |
| Common Stock | 206,116 | I | By WAS Conns Annuity Trust One |
| Common Stock | 56,633 | I | By Warren Miles Amerine Stephens 1995 Trust |
| Common Stock | 6,352 | I | By Warren Miles Amerine Stephens Trust |
| Common Stock | 56,633 | I | By John Calhoun Stephens 1995 Trust |
| Common Stock | 6,352 | I | By John Calhoun Stephens Trust |
| Common Stock | 56,633 | I | By Laura Whitaker Stephens 1995 Trust |
| Common Stock | 6,352 | I | By Laura Whitaker Stephens |

Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| STEPHENS WARREN A 111 CENTER STREET LITTLE ROCK, AR 72201 | | X | | |

Signatures

Todd Ferguson, attorney in fact for reporting person
 Date: 07/19/2016
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Number of shares reflects the gift for no consideration of 500,000 shares previously reported as owned by Harriet C. Stephens Trust to Paula W. and John P. Calhoun Family Trust, Warren A. Stephens, Trustee, for benefit of reporting person's children, and receipt of 666 shares for no consideration through an inheritance.
 - (2) Reflects gift of 500,000 shares previously reported as owned by Harriet C. Stephens Trust to Paula W. and John P. Calhoun Family Trust, Warren A. Stephens, Trustee, for benefit of reporting person's children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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