Edgar Filing: Gevo, Inc. - Form 4

Gevo, Inc.										
Form 4										
March 14, 20)14									
FORM	4									PPROVAL
	• UNITE	D STATES					NGE (COMMISSION		3235-0287
Check thi	is box		Was	hington,	D.C. 205	49			Number:	
if no long	or	EMENT A	E CHAN	CECINI	DENIER	CTAT		NEDCHID OF	Expires:	January 31, 2005
subject to		EMENIO	r Chan	SECUR		CIAI		NERSHIP OF	Estimated a	average
Section 1 Form 4 or				SECUR	11165				burden hou	
Form 5		nursuant to	Section 1	5(a) of the	- Securiti	es Fr	chano	ge Act of 1934,	response	0.5
obligation	¹⁸ Section	•					-	f 1935 or Sectio	n	
may cont See Instru	inue.		of the In	•	.					
1(b).	iction	()			J					
(Print or Type F	Responses)									
1. Name and A	ddress of Report	ing Person <u>*</u>	2. Issuer	Name and	Ticker or T	Trading	g	5. Relationship of	Reporting Per	son(s) to
Lund Brett			Symbol					Issuer		
			Gevo, Iı	nc. [GEV	0]			(Chao	k all applicable	.)
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			(Chee	k an applicable	5)
			(Month/D	ay/Year)				Director	10%	Owner
GEVO, INC	2., 345 INVER	NESS	03/12/20	014				X Officer (give below)	e title Othe below)	er (specify
	JTH, BUILDI	NG C,						· · · · · · · · · · · · · · · · · · ·	GC and Secreta	ry
SUITE 310										
	(Street)		4. If Ame	ndment, Da	te Original			6. Individual or Jo	oint/Group Filin	1g(Check
			Filed(Mon	th/Day/Year)			Applicable Line)		
		_						_X_ Form filed by C Form filed by N		
ENGLEWO	OD, CO 8011	2						Person		porting
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	ecurit	ties Acc	quired, Disposed of	f, or Beneficial	ly Owned
1.Title of	2. Transaction	Date 2A. Dee	emed	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Y	ear) Execution	on Date, if		on(A) or Dis	sposed	l of	Securities	Form: Direct	Indirect
(Instr. 3)		any (Month)	/Day/Year)	Code (Instr. 8)	(D) (Instr. 3, 4	1 and 4	5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership
		(WOIIII/	Day/Teal)	(11150.0)	(IIIsu. 3, ²	+ anu .))	Following	(Instr. 4)	(Instr. 4)
						(A)		Reported	```	
						(A) or		Transaction(s)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	03/12/2014			А	22,261 (2)	А	\$0	135,478	D	
					_					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and <i>J</i> Underlying S (Instr. 3 and	Securit
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 1.46	03/12/2014		А	34,947	<u>(1)</u>	03/12/2024	Common Stock	34,9

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Lund Brett GEVO, INC., 345 INVERNESS DRIVE SOUTH BUILDING C, SUITE 310 ENGLEWOOD, CO 80112			EVP, GC and Secretary			
Signaturas						

Signatures

/s/ Brett Lund 03/14/20

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted on March 12, 2014 and is exercisable as the option vests. The shares subject to this option vest in equal monthly installments over three years beginning on March 12, 2014.
- (2) This restricted stock was granted on March 12, 2014 and vests in equal monthly installments over a three year period beginning on March 12, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.