### Edgar Filing: Bank of New York Mellon Corp - Form 4

Bank of New York Mellon Corp Form 4 February 25, 2014

| February 25,   | 2014                                 |       |                                      |  |                     |                        |                |   |   |           |  |
|--|--------------------------------------|-------|--------------------------------------|--|---------------------|------------------------|----------------|---|---|-----------|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION  |                                      |       |                                      |  |                     |                        |                | OMB APPROVAL  |   |           |  |
| Washington, D.C. 20549   |                                      |       |                                      |  |                     |                        | OMB<br>Number: | 3235-0287   |   |           |  |
| Check th<br>if no long   | ter                                  |       |                                      | Expires:   | January 31,<br>2005 |                        |                |   |   |           |  |
| subject to<br>Section 1  | )                                    |       | IGES IN BENEFICIAL OWN<br>SECURITIES |  |                     |                        | NEKSHIP OF     | Estimated a   | verage  |           |  |
| Form 4 or  |                                      |       |                                      |  |                     |                        |                | burden hour response  | •   |           |  |
| Form 5<br>obligations<br>may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940 |                                      |       |                                      |  |                     |                        |                |   |   |           |  |
| (Print or Type I   | Responses)                           |       |                                      |  |                     |                        |                |   |   |           |  |
| Keaney Timothy F Symbol  |                                      |       |                                      | er Name <b>and</b> Ticker or Trading   |                     |                        |                | 5. Relationship of Reporting Person(s) to Issuer  |   |           |  |
|  | Bank of New York Mellon Corp<br>[BK] |       |                                      |  |                     | (Check all applicable) |                |   |   |           |  |
|  |                                      |       |                                      | of Earliest Transaction<br>Day/Year)   |                     |                        |                | Director10% Owner<br>Officer (give titleOther (specify  |   |           |  |
| THE BANK OF NEW YORK     02/21/2014     below)     below)       MELLON CORPORATION, ONE     Vice Chairman       WALL STREET     Vice Chairman  |                                      |       |                                      |  |                     |                        |                |   |   |           |  |
|  |                                      |       |                                      | endment, Date Original<br>nth/Day/Year)  |                     |                        |                | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |           |  |
| NEW YORK, NY 10286 Form filed by More than One Reporting Person  |                                      |       |                                      |  |                     |                        |                |   |   |           |  |
| (City)   | (State)                              | (Zip) | Table I -                            | Non-I  | Derivative          | Securi                 | ities Acqu     | uired, Disposed of,   | or Beneficiall  | y Owned   |  |
| 1.Title of<br>Security2. Transaction Date<br>(Month/Day/Year)2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)   |                                      |       | ate, if Tran<br>Cod                  | 3.4. Securities AcquiredTransactior(A) or Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8) |                     |                        |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported                            | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | Ownership |  |
|  |                                      |       | Cod                                  | e V  | Amount              | (A)<br>or<br>(D)       | Price          | Transaction(s)<br>(Instr. 3 and 4)  | (1150. 1)   |           |  |
| Common<br>Stock  | 02/21/2014                           |       | F                                    |  | 3,278<br>(1)        | D                      | \$<br>31.35    | 304,976.123   | D   |           |  |
| Common<br>Stock  | 02/23/2014                           |       | F                                    |  | 15,839<br>(1)       | D                      | \$<br>31.35    | 289,137.123   | D   |           |  |
| Common<br>Stock  |                                      |       |                                      |  |                     |                        |                | 12,704.468 <u>(2)</u>   | Ι   | By 401(k) |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of                          | 2.<br>Conversion  | 3. Transaction Date |   | 4.<br>Transaatii                 | 5.  | 6. Date Exer        |                    | 7. Title and   |                          | 9. Nu<br>Doria   |
|--------------------------------------|---|---------------------|---|----------------------------------|---|---------------------|--------------------|--|--------------------------|--|
| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if<br>any<br>(Month/Day/Year) | Transactio<br>Code<br>(Instr. 8) | orNumber Expiration Data<br>of (Month/Day/Y)<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     |                    | Amount of<br>Underlying<br>Securities<br>(Instr. 3 and | g Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                      |   |                     |   | Code V                           | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Amo<br>or<br>Title Num<br>of<br>Shar                   | nber                     |  |

# **Reporting Owners**

| Reporting Owner Name / Address   |            |           | Relationships |               |  |  |  |  |
|--|------------|-----------|---------------|---------------|--|--|--|--|
|  | Director   | 10% Owner | Officer       | Other         |  |  |  |  |
| Keaney Timothy F<br>THE BANK OF NEW YORK MELLON CORPORATION<br>ONE WALL STREET<br>NEW YORK, NY 10286 |            |           |               | Vice Chairman |  |  |  |  |
| Signatures   |            |           |               |               |  |  |  |  |
| /s/ Craig T. Beazer,<br>Attorney-in-Fact   | 02/25/2014 |           |               |               |  |  |  |  |
| **Signature of Reporting Person  | Date       |           |               |               |  |  |  |  |
|  |            |           |               |               |  |  |  |  |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld in payment of tax liability incident to vesting.
- (2) Holdings reported as of 02/07/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.