American Electric Technologies Inc Form 4

January 02, 2014

FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| Name and Address of Reporting Person * Steffek James J | | | 2. Issuer Name and Ticker or Trading Symbol American Electric Technologies Inc | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|---------|----------|--|--|--|--|--|
| | | | [AETI] | (The opposite of the opposite | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | Director 10% OwnerX_ Officer (give title Other (specify | | | |
| 6410 LONG DRIVE (Street) | | | 12/31/2013 | below) below) SVP of Sales and Business Dev. | | | |
| | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| HOUSTON, TX 77087 | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acq | uired, Disposed of, or Beneficially Owned | | | |

| | | Tuble 1 That Betti unit of Securities Frequency 2 is possed of or Betterland, of Fred | | | | | | | | |
|------------|---------------------|---|-----------------------------|------------|-------|-------------|------------------|-------------|--------------|--|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securit | ies A | equired (A) | 5. Amount of | 6. | 7. Nature of | |
| Security | (Month/Day/Year) | Execution Date, if | Transaction Disposed of (D) | | | | Securities | Ownership | Indirect | |
| (Instr. 3) | | any | Code (Instr. 3, 4 and 5) | | | | Beneficially | Form: | Beneficial | |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned | Direct (D) | Ownership | |
| | | | | | | | Following | or Indirect | (Instr. 4) | |
| | | | | | (4) | | Reported | (I) | | |
| | | | | | (A) | | Transaction(s) | (Instr. 4) | | |
| | | | C-J- V | A 4 | or | Price | (Instr. 3 and 4) | | | |
| | | | Code V | Amount | (D) | | | | | |
| Common | 12/31/2013 | | S | 3,100 | D | \$ | 649,924 | D | | |
| Stock | 12/31/2013 | | 5 | 3,100 | _ | 10.0235 | 012,227 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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3235-0287

January 31,

2005

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| 1. Title of | 2. | 3. Transaction Date | | 4. | 5. | 6. Date Exerc | | 7. Titl | | 8. Price of | 9. Nu |
|--------------------------------------|---|---------------------|---|---------------------------------|--|---------------------|--------------------|---------|------------------------------|---------------------|--|
| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Transacti Code (Instr. 8) | orNumber of Derivative Securities Acquired (A) or Disposed of (D) | | | | | Security (Instr. 5) | Deriv Secur Bene Owne Follo Repo Trans |
| | | | | | (Instr. 3, 4, and 5) | | | | | | (Instr |
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Steffek James J 6410 LONG DRIVE HOUSTON, TX 77087

SVP of Sales and Business Dev.

Date

Signatures

/s/ Charles M Dauber, as attorney-in-fact for James J.

Steffek 01/02/2014

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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