Edgar Filing: NRG ENERGY, INC. - Form 4

| NRG ENER | GY, INC. | | | | | | | | | | | |
|----------------------------------------------------------------------------|-------------------------------------------|-------------------|----------------------|---------------------------------------------------------------|------------|--------------------|----------------------------|------------------------------------------------------------|------------------------------|--------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| November 05 | | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | | | APPROVAL | | |
| | | DINI | | hington, | | | | | Number: | 3235-0287 | | |
| | Check this box | | | | | | Expires: | January 31, | | | | |
| if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | | Estimated | 2005 average | | | |
| Section 16. | | | | | SECURITIES | | | | | irs per | | |
| Form 4 or Form 5 | | rsuant to | Section 10 | 5(a) of the | Securiti | ies Fr | rchand | ge Act of 1934, | response | . 0.5 | | |
| obligation | ¹⁸ Section 17 | | | | | | - | of 1935 or Section | n | | | |
| may cont <i>See</i> Instru | inue. | |) of the In | • | • | · · | | | | | | |
| 1(b). | | | | | | | | | | | | |
| (Print or Type F | Pasponsas) | | | | | | | | | | | |
| (Find of Type r | (esponses) | | | | | | | | | | | |
| 1. Name and A | ddress of Reporting | g Person <u>*</u> | 2. Issuer | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to | | | | |
| Wilson Denise Symbol | | | | - | | | | Issuer | | | | |
| | | | NRG EN | NRG ENERGY, INC. [NRG] | | | | (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | 3. Date of | Earliest Tra | ansaction | | | (end | en un apprecas | •) | | |
| NDC ENED | CV DIC 211 | | | h/Day/Year) | | | | Director 10% Owner X Officer (give title Other (specify | | | | |
| NRG ENERGY, INC., 211 11/03/2 CARNEGIE CENTER | | | | 3/2014 | | | | below) below) | | | | |
| CINCLOIL | | | | | | | | Exec VP a | & Pres, New Bi | isiness | | |
| | | | | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | Filed(Mon | th/Day/Year) | | | | Applicable Line) _X_ Form filed by | One Reporting P | erson | | |
| PRINCETO | N, NJ 08540 | | | | | | | Form filed by I Person | More than One R | eporting | | |
| (City) | (State) | (Zip) | | | • .• . | | | | 0 D 011 | | | |
| | | - | | | | | ties Ac | quired, Disposed o | | - | | |
| 1.Title of Security | 2. Transaction Da (Month/Day/Year | | emed ion Date, if | 3. Transactio | 4. Securi | | r | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect | | |
| (Instr. 3) (Instr. 3) (Instr. 3) | | | | n Date, if TransactionAcquired (A) or Code Disposed of (D) | | | | Beneficially | (D) or | Beneficial | | |
| | /Day/Year) (Instr. 8) (Instr. 3, 4 and 5) | | | | 5) | Owned Following | Indirect (I) (Instr. 4) | Ownership (Instr. 4) | | | | |
| | | | | | | (A) | | Reported | (Instr. 1) | (Instr. 1) | | |
| | | | | | | (A) or | | Transaction(s) (Instr. 3 and 4) | | | | |
| G | | | | Code V | Amount | (D) | Price | (Instr. 5 and 4) | | | | |
| Common Stock par | | | | | | | | | | | | |
| Stock, par value \$.01 | 11/03/2014 | | | А | 391 | А | <u>(1)</u> | 127,435 <u>(2)</u> | D | | | |
| per share | | | | | | | | | | | | |
| _ | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: NRG ENERGY, INC. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Unde Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|---------------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---------------------------------------------------------------------------------|---------------|------------|------------------------------|-------|--|--|--|--|
| I. S. | Director | 10% Owner | Officer | Other | | | | |
| Wilson Denise NRG ENERGY, INC. 211 CARNEGIE CENTER PRINCETON, NJ 08540 | | | Exec VP & Pres, New Business | | | | | |
| Signatures | | | | | | | | |
| /s/ Brian Curci by Power of Attorney | | 11/05/2014 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents dividend equivalent rights accrued on the reporting person's restricted stock units or market stock units, which become
 (1) exercisable proportionately with the restriced stock units or market stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- (2) Includes 2,248 dividend equivalent rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.