

McLaughlin Edward Grunde  
Form 4  
January 24, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
McLaughlin Edward Grunde

(Last) (First) (Middle)

2000 PURCHASE STREET

(Street)

PURCHASE, NY 10577

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Mastercard Inc [MA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/22/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

President, Operations & Tech

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                                             |
| Class A<br>Common<br>Stock            | 01/22/2019                              |                                                             | M                                    |                                                                         | 8,280<br>(1)                                                                                                       | A                                                                       | \$ 51.83 37,374.396 D                                             |
| Class A<br>Common<br>Stock            | 01/22/2019                              |                                                             | M                                    |                                                                         | 10,120<br>(1)                                                                                                      | A                                                                       | \$ 77.72 47,494.396 D                                             |
| Class A<br>Common<br>Stock            | 01/22/2019                              |                                                             | S                                    |                                                                         | 4,851<br>(1)                                                                                                       | D                                                                       | \$ 198.3588 42,643.396 D                                          |
| Class A<br>Common                     | 01/22/2019                              |                                                             | S                                    |                                                                         | 6,905<br>(1)                                                                                                       | D                                                                       | \$ 35,738.396 D                                                   |
|                                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         | 199.3453                                                          |

# Edgar Filing: McLaughlin Edward Grunde - Form 4

|         |            |   |       |   |           |            |   |
|---------|------------|---|-------|---|-----------|------------|---|
| Stock   |            |   |       |   | (3)       |            |   |
| Class A |            |   |       |   | \$        |            |   |
| Common  | 01/22/2019 | S | 8,113 | D | 200.3302  | 27,625.396 | D |
| Stock   |            |   | (1)   |   | (4)       |            |   |
| Class A |            |   |       |   | \$ 200.83 |            |   |
| Common  | 01/22/2019 | S | 400   | D | (5)       | 27,225.396 | D |
| Stock   |            |   | (1)   |   |           |            |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Employee Stock Option (right to buy)       | \$ 51.83                                               | 01/22/2019                           |                                                    | M                              | 8,280 (1)                                                                               | (6) 03/01/2023                                           | Class A Common Stock 8,280                                    |
| Employee Stock Option (right to buy)       | \$ 77.72                                               | 01/22/2019                           |                                                    | M                              | 10,120 (1)                                                                              | (7) 03/01/2024                                           | Class A Common Stock 10,120                                   |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships                    |
|------------------------------------------------------------------------|----------------------------------|
|                                                                        | Director 10% Owner Officer Other |
| McLaughlin Edward Grunde<br>2000 PURCHASE STREET<br>PURCHASE, NY 10577 | President, Operations & Tech     |

## Signatures

/s/ Craig Brown, attorney-in-fact for Edward McLaughlin pursuant to a power of attorney  
dated April 21, 2017

01/24/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a pre-planned trading plan entered into in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934. The pre-planned trading plan was adopted by the reporting person on December 5, 2018.  
  
This transaction was executed in multiple trades at prices ranging from \$197.79 to \$198.78. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This transaction was executed in multiple trades at prices ranging from \$198.79 to \$199.78. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) This transaction was executed in multiple trades at prices ranging from \$199.79 to \$200.77. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) This transaction was executed in multiple trades at prices ranging from \$200.80 to \$200.90. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) The reporting person was awarded 21,280 employee stock options on March 1, 2013, which vested in four equal installments beginning on March 1, 2014
- (6) The reporting person was awarded 20,120 employee stock options on March 1, 2014, which vested in four equal installments beginning on March 1, 2015.
- (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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