

MARCUS CORP
Form 4
January 09, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
HAASE BRONSON J

(Last) (First) (Middle)

6948 N. WILDWOOD POINT RD.

(Street)

HARTLAND, WI 53029

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol

MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)

01/05/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	01/05/2017		M	V Amount (A) or (D) Price 1,000 A \$ 19.65	21,740	D	
Common Stock	01/05/2017		F	616 D \$ 31.9	21,124	D	
Common Stock					6,204	I	By Trust (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Edgar Filing: MARCUS CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V	(A)	(D)	Amount or Number of Shares
Stock Option (Right to Buy) ⁽²⁾	\$ 17.17					05/29/2008	05/29/2018	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 10.78					05/28/2009	05/28/2019	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 11.14					05/27/2010	05/27/2020	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 10.5					05/26/2011	05/26/2021	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 13.33					05/31/2012	05/31/2022	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 13.45					05/30/2013	05/30/2023	Common Stock 1,000
Stock Option (Right to Buy) ⁽²⁾	\$ 16.84					05/29/2014	05/29/2024	Common Stock 1,000
Stock Option (Right to Buy) ⁽²⁾	\$ 19.65	01/05/2017		M	1,000	05/28/2015	05/28/2025	Common Stock 1,000

Stock Option (Right to Buy) ⁽²⁾	\$ 18.97	12/31/2015	12/31/2025	Common Stock	583
Stock Option (Right to Buy) ⁽²⁾	\$ 31.55	12/29/2016	12/29/2026	Common Stock	1,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAASE BRONSON J 6948 N. WILDWOOD POINT RD. HARTLAND, WI 53029		X		

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Bronson J.
Haase

01/09/2017

____Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) By the Bronson J. and Jacqueline A. Haase Living Trust dated 8/17/1999.
- (2) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.