#### Edgar Filing: HARPER JOSEPH P JR - Form 3

#### HARPER JOSEPH P JR

Form 3

September 10, 2010

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STERLING CONSTRUCTION CO INC [STRL] HARPER JOSEPH P JR (Month/Day/Year) 09/01/2010 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 20810 FERNBUSH LANE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person HOUSTON, TXÂ 77073 (give title below) (specify below) Form filed by More than One Exec. VP-Finance Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 214,677 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Beneficial
			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	tive (Instr. 5)
	D.	Б	T:41	A	Derivative	Security:	
	Date	Expiration	Title	Amount or	Security	Direct (D)	
	Exercisable	Date		Number of		or Indirect	

#### Edgar Filing: HARPER JOSEPH P JR - Form 3

				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	08/20/2013	Common Stock	600	\$ 3.05	D	Â
Employee Stock Option (right to buy)	(2)	08/12/2014	Common Stock	1,400	\$ 3.1	D	Â
Employee Stock Option (right to buy)	(3)	09/12/2010	Common Stock	1,500	\$ 16.78	D	Â
Employee Stock Option (right to buy)	07/18/2007	07/18/2011	Common Stock	7,500	\$ 24.96	D	Â
Employee Stock Option (right to buy)	(4)	09/08/2011	Common Stock	1,000	\$ 25.21	D	Â
Warrant to Purchase Common Stock (right to buy) (5)	07/18/2001	07/18/2011	Common Stock	13,119	\$ 1.5	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Transfer de la companya de la compan	Director	10% Owner	Officer	Other		
HARPER JOSEPH P JR 20810 FERNBUSH LANE HOUSTON, TX 77073	Â	Â	Exec. VP-Finance	Â		

# **Signatures**

Roger M. Barzun under a Power of Attorney 09/10/2010

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 20, 2003 grant date.
- (2) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 12, 2004 grant date.
- (3) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 12, 2005 grant date.
- (4) These shares are exercisable in five equal annual installments commencing on the first anniversary of the August 8, 2006 grant date.
- (5) These warrants were issued as partial consideration for the purchase of shares of the entity now known as Texas Sterling Construction Co. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2