

COLLECTORS UNIVERSE INC

Form 4

August 03, 2009

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HALL DAVID G

(Last) (First) (Middle)

**COLLECTORS UNIVERSE,
INC., P.O. BOX 6280**

(Street)

**NEWPORT
BEACH, CA 92658-6280**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**COLLECTORS UNIVERSE INC
[CLCT]**

3. Date of Earliest Transaction
(Month/Day/Year)
07/31/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/31/2009		A	(A) or (D) Price 101,034 (1)	A \$ 0 990,733 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HALL DAVID G COLLECTORS UNIVERSE, INC. P.O. BOX 6280 NEWPORT BEACH, CA 92658-6280	X	X	President	

Signatures

Christine
Kakour 08/03/2009

**Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Awarded under the Issuer's 2006 Equity Incentive Plan (which is a Section 16b-3 plan). All of these shares are subject to forfeiture if there is a cessation of Reporting Person's service with the Issuer prior to July 31, 2010. If Reporting Person is still in the Issuer's service on July 31, 2010, then 25% of the shares will vest (that is, cease to be subject to forfeiture) on that date. The remaining 75% of the shares

(1) will be forfeited, in their entirety, if the Company fails to achieve, for the fiscal year ending June 30, 2010, an operating income goal that has been established by the Compensation Committee. If that goal is achieved, then (i) another 25% of the shares will vest as a result of the achievement of that goal (ii) the remaining 50% of the shares will vest in two equal annual installments on June 30, 2011 and 2012, respectively, in each case provided that the Reporting Person is still in the Issuer's service on such date.

Does not include, and Mr. Hall disclaims beneficial ownership of a total of 51,066 shares held in a trust established for Mr. Hall's

(2) children. Mr. Hall may be deemed to share dispositive power over those shares, but does not have any financial or pecuniary interest in those shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.