### Edgar Filing: FROMBERG BARRY A - Form 4

### FROMBERG BARRY A

Form 4

February 20, 2003

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Ad  Fromberg, Barn			Name and Toods Comp		Pe to	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
(Last) 2515 McKinney	of Reporting Person,					4/10/02			Director					
											xecutive Vice hief Financia	President and Officer		
(Street)  Dallas, TX 75201				I							7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person			
Security	2. Trans- action	Execution	3. Transaction		<ol> <li>Securition</li> <li>Or Dispose</li> </ol>	es Acq d of (E	uired (A	4)	ities Acquired, 1 5. Amount of Securities	<u>Dispose</u>	6. Owner- ship Form:	ficially Owned  7. Nature of Indirect Beneficial		
(Instr. 3)		,	Instr. 8 Code		(Instr. 3, 4 Amount	(A) or (D)	Pric	e	Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		Direct (D) or Indirect (I) (Instr. 4)	Geneticial Ownership (Instr. 4)		
Common Stock	02/18/03		M		26,666	A	\$18.7	7188		48,054	D			
Common Stock	02/18/03		<b>S</b> (1)		26,666	D	\$42.0	0000		21,338	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

(vg.) pass, variants, operats, variations,													
1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. N		
Derivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of In		
Security	Exercise	Date	Execution	action	Derivative	Date	Underlying	Security	Securities	ship	Bene		
'	Price of		Date,	Code	Securities	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Own		
	1	1 '	1 '	1 '	1 '	1	1	1 '	1	1 '	1		

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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	Day/	if any (Month/ Day/ Year)	(Instr. 8)	r. ( I c	(A) or Disposed of (D) (Instr. 3, 4 & 5)				(Instr. 3 &		Owned Following Reported Transaction(s) (Instr. 4)	ative Secu Dire (D) or	curity: rect	(Insti
			Code	V	(A)	` ′	Exer-cisable	Expira- tion Date		Amount or Number of Shares	l l	` /	str. 4)	
Non-Qualified Stock Option (right to buy)	8 02/18/03		M			26,666	6 01/04/01		Common Stock	1 1		0 1	D	
Non-Qualified Stock Option (right to buy)							01/22/02		Common Stock	67,218	67,218	3 ]	D	
Incentive Stock Option (right to buy)							01/22/02		Common Stock	9,272	9,272	2 ]	D	
Incentive Stock Option (right to buy)							01/14/03		Common Stock	3,276	3,270	5 !	D	
Non-Qualified Stock Option (right to buy)	,						07/31/99		Common Stock	90,000	90,000	]	D	
Non-Qualified Stock Option (right to buy)							01/14/03		Common Stock	50,000	50,000	) !	D	
Non-Qualified Stock Option (right to buy)	,				_ 		01/14/03(2)		Common Stock	76,724	76,724	1	D	

Explanation of Responses:

(1) Sale is pursuant to a 10b5-1 Sales Plan dated January 21, 2003 between Reporting Person and Bear Stearns & Co., Inc., acting as agent, to permit the orderly disposition of a portion of the Reporting Person's holdings of the Issuer's common stock, par value \$.01 per share of Dean Foods Company. Sales under the Plan commence on February 23, 2003 and end on December 31, 2003.

(2) With respect to all the Stock Options listed, the shares of common stock subject to the Options vest ratably in three equal increments commencing on the first anniversary of the grant date.

By: /s/ Barry A. Fromberg

February 20, 2002

Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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