

Bushman Julie L
 Form 4
 February 11, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Bushman Julie L

(Last) (First) (Middle)
 3M CENTER
 (Street)

ST. PAUL, MN 55144-1000

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
 3M CO [MMM]

3. Date of Earliest Transaction (Month/Day/Year)
 02/07/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 02/07/2019 | | S | 10 | D \$ 199.84 | 36,642.0024 | D |
| Common Stock | 02/07/2019 | | S | 449 | D \$ 199.85 | 36,193.0024 | D |
| Common Stock | 02/07/2019 | | S | 120 | D \$ 199.86 | 36,073.0024 | D |
| Common Stock | 02/07/2019 | | S | 700 | D \$ 199.87 | 35,373.0024 | D |
| Common Stock | 02/07/2019 | | S | 200 | D \$ 199.875 | 35,173.0024 | D |

Edgar Filing: Bushman Julie L - Form 4

| | | | | | | | | |
|--------------|------------|---|-----|---|------------|---------------------------|---|----------------------------|
| Common Stock | 02/07/2019 | S | 200 | D | \$ 199.88 | 34,973.0024 | D | |
| Common Stock | 02/07/2019 | S | 100 | D | \$ 199.91 | 34,873.0024 | D | |
| Common Stock | 02/07/2019 | S | 150 | D | \$ 199.92 | 34,723.0024 | D | |
| Common Stock | 02/07/2019 | S | 529 | D | \$ 199.93 | 34,194.0024 | D | |
| Common Stock | 02/07/2019 | S | 300 | D | \$ 199.94 | 33,894.0024 | D | |
| Common Stock | 02/07/2019 | S | 15 | D | \$ 199.95 | 33,879.0024 | D | |
| Common Stock | 02/07/2019 | S | 100 | D | \$ 199.985 | 33,779.0024 | D | |
| Common Stock | 02/07/2019 | S | 100 | D | \$ 199.99 | 33,679.0024 | D | |
| Common Stock | 02/07/2019 | S | 100 | D | \$ 200 | 33,579.0024 | D | |
| Common Stock | 02/07/2019 | S | 50 | D | \$ 200.01 | 33,529.0024 <u>(1)</u> | D | |
| Common Stock | | | | | | 844 <u>(2)</u> | I | by 401k/paesop Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Non-qualified | \$ 78.72 | 02/07/2019 | | M | 13,172 | 02/09/2011 02/07/2020 | Common | 13,172 |

Stock Option
 (Right to Buy)
(3)

Stock

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Bushman Julie L 3M CENTER ST. PAUL, MN 55144-1000 | | | Executive Vice President | |

Signatures

| | |
|---|------------|
| /s/ Sheila B. Clagherty, attorney-in-fact for Julie L. Bushman | 02/11/2019 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.
- (3) This option became exercisable in equal installments on each of the first three anniversaries of the grant date (2/9/2010).

Remarks:

3 of 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.