MARSH & MCLENNAN COMPANIES, INC.

Form 4 March 01, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person * MOCZARSKI ALEXANDER S			2. Issuer Name and Ticker or Trading Symbol MARSH & MCLENNAN COMPANIES, INC. [MMC]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)  1166 AVENUE OF THE AMERICAS (Street)  NEW YORK, NY 10036		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/28/2016	Director 10% Owner Officer (give title Other (specify below)  President & CEO, Guy Carpenter		
			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative (	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/28/2016		M(1)	26,726	A	\$0	66,002.94 (2)	D	
Common Stock	02/28/2016		F(1)	14,921	D	\$ 57.825	51,081.94	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(3)	02/28/2016		M <u>(1)</u>	26,726	<u>(4)</u>	<u>(4)</u>	Common Stock	26,726	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MOCZARSKI ALEXANDER S 1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036

President & CEO, Guy Carpenter

De

## **Signatures**

/s/ Tiffany D. Wooley, Attorney-in-Fact

03/01/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Vesting and distribution to reporting person of 26,726 shares underlying restricted stock units of which 14,921 were withheld by Marsh & McLennan Companies to cover applicable taxes. These 26,726 shares underlying restricted stock units relate to 3,426 restricted stock

- (1) units that were granted on February 25, 2013, 18,497 restricted stock units relating to performance stock units that were granted on February 25, 2013 for the performance period 2013-2015, 2,604 restricted stock units that were granted on February 24, 2014, and 2,199 restricted stock units that were granted on February 23, 2015.
- (2) Includes dividend reinvestment shares acquired on February 15, 2016.
- (3) The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.
- (4) Not Applicable.

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