Colony Capital, Inc. Form 4 April 04, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Redington Neale

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

04/04/2016

Colony Capital, Inc. [CLNY]

(Check all applicable)

(Last)

(City)

(Middle) (First)

3. Date of Earliest Transaction (Month/Day/Year)

Director X\_ Officer (give title

10% Owner Other (specify

C/O COLONY CAPITAL, INC., 515 SOUTH FLOWER STREET, 44TH

(Street)

(State)

(Zip)

below)

below) Chief Accounting Officer

**FLOOR** 

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LOS ANGELES, CA 90071

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

<u>(1)</u>

Price Code V Amount (D)

Α

Transaction(s) (Instr. 3 and 4)

37,251

Class A

Common 04/04/2016 Stock

2,533

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

J

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number op f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date Underlying Securit (Month/Day/Year) (Instr. 3 and 4) |                    | Securities                 | 8. Price<br>Deriva<br>Securit<br>(Instr. |       |
|---|---|---|---|--|---|--|--------------------|----------------------------|--|-------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of<br>Shares   |       |
| OP Units  | <u>(2)</u>  | 04/04/2016                              |   | J                                      | 2,533   | (2)  | (2)                | Class A<br>Common<br>Stock | 2,533                                    | \$ (  |
| OP Units  | (3)   | 04/04/2016                              |   | J                                      | 2,075   | <u>(3)</u>   | (3)                | Class A<br>Common<br>Stock | 2,075                                    | \$ 16 |

#### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                |       |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|
| . 0   | Director      | 10% Owner | Officer                        | Other |  |  |
| Redington Neale<br>C/O COLONY CAPITAL, INC.<br>515 SOUTH FLOWER STREET, 44TH FLOOR<br>LOS ANGELES, CA 90071 |               |           | Chief<br>Accounting<br>Officer |       |  |  |

## **Signatures**

/s/ David A. Palame, as
Attorney-in-fact
04/04/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The acquisition represents the acquisition of shares of Class A Common Stock by the reporting person as a distribution from limited liability companies ("LLCs") controlled by Thomas J. Barrack, Jr., which shares were received by such LLCs upon redemption of OP Units, which were allocated to the reporting person pursuant to the operating agreements of the LLCs. The OP Units were redeemed for an equal number of shares of the issuer's Class A Common Stock.
- These units are held by LLCs controlled by Thomas J. Barrack, Jr. A portion of the units allocated to the reporting person, as a member of the LLCs, was redeemed by the LLCs for shares of Class A Common Stock, at the discretion of the issuer, and the reporting person received shares of Class A Common Stock as a distribution from the LLCs upon such redemption. The OP Units do not have expiration dates.
- These units are held by LLCs controlled by Thomas J. Barrack, Jr. A portion of the units allocated to the reporting person, as a member of the LLCs, was redeemed by the LLCs for cash, at the discretion of the issuer, and the reporting person received cash as a distribution from the LLCs upon such redemption. The OP Units do not have expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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