

Edgar Filing: NEW GERMANY FUND INC - Form SC 13G

NEW GERMANY FUND INC  
Form SC 13G  
February 14, 2002

SCHEDULE 13G

New Germany Fund, Inc.  
(Name of Issuer)

I.R.S. Identification No. 133555471

COMMON STOCK  
(Title of Class of Securities)

644465106  
(CUSIP Number)

SCHEDULE FILED PURSUANT TO RULE 13d-1(b)

1. Name of Reporting Person  
S.S. or I.R.S. Identification No. of Above Person  
  
SG Cowen Securities Corporation  
I.R.S. Identification No. 13-1976032
2. Check the Appropriate Box if a Member of a Group  
  
(a) / /  
(b) / /
3. SEC Use Only
4. Citizenship or Place of Organization  
  
Delaware
5. Sole Voting Power  
  
Societe Generale (Paris) 2,009,881
6. Shared Voting Power  
  
-0-
7. Sole Dispositive Power  
  
Societe Generale (Paris) 2,009,881
8. Shared Dispositive Power  
  
-0-
9. Aggregate Amount Beneficially Owned By Each Reporting Person  
  
-0-
10. Check Box if the Aggregate Amount in Row (9) Excludes

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Certain Shares \*

N/A

11. Percent of Class Represented by Amount in Row 9

7.0217%

12. Type of Reporting Person \*

Broker Dealer

Item 1

- (a) New Germany Fund, Inc.
- (b) 31 West 52nd Street  
c/o Deutsche Bank Capital Corporation  
New York, NY 10019

Item 2

- (a) SG COWEN SECURITIES CORPORATION
- (b) 1221 AVENUE OF THE AMERICAS  
New York, NY 10020
- (c) Citizenship: USA
- (d) Common Stock
- (e) 644465106

Item 3 IF THE STATEMENT IS FILED PURSUANT TO RULE 13d-1(b)  
CHECK WHETHER THE PERSON FILING IS:

- (a)  Broker or Dealer registered under  
section 15 of the Act:

SG COWEN SECURITIES CORPORATION

- (e)  Investment advisor registered under  
section 203 of the Investment Advisers  
Act of 1940:

SG Cowen Asset Management,

Item 4 OWNERSHIP

Societe Generale, Paris

- (a) 2,009,881
- (b) 7.0217%
- (c) (i) - 0 -  
(ii) 2,009,881  
(iii) - 0 -  
(iv) 2,009,881

Item 5 OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

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If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [    ].

Item 6            OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON

As a broker dealer, SG Cowen Securities Corporation holds the securities on behalf of its affiliate, Societe Generale (Paris) whose interest exceeds five percent.

ITEM 7            IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED BY THE PARENT HOLDING COMPANY

Not applicable

ITEM 8            IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP

Not applicable.

ITEM 9            NOTICE OF DISSOLUTION OF GROUP

Not applicable.

ITEM 10           CERTIFICATION

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purposes of effect.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

DATE: February 14, 2002

SG COWEN SECURITIES CORPORATION

By: /S/ Michael Maxson,  
Vice President

Michael Maxson  
SG COWEN SECURITIES CORPORATION  
1221 AVENUE OF THE AMERICAS  
New York, NY 10020

February 14, 2002

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VIA EDGAR FILING  
Securities and Exchange Commission  
Judiciary Plaza  
450 Fifth Street, N.W.  
Washington, DC 20549

Re: Schedule 13G with respect to the Common Stock,  
of The New Germany Fund, Inc.

Ladies and Gentlemen:

On behalf of SG COWEN SECURITIES CORPORATION, I am transmitting herewith for filing with the Securities and Exchange Commission (the "Commission") the Schedule 13G relating to the Common Stock of The New Germany Fund, Inc. This filing is being effected by direct transmission to the Commission's EDGAR system.

By copy of this letter, a copy of the enclosed Schedule is being sent by certified mail to The New Germany Fund, Inc. at its principal executive office.

If you have any questions regarding the foregoing, please contact the undersigned at (212) 278-6133

Very truly yours,

/s/ Michael Maxson  
Michael Maxson

Enclosures

cc: The New Germany Fund, Inc.  
31 West 52nd Street  
c/o/ Deutsche Bank Capital Corporation  
New York, NY 10019