

Eisenhuth Guy  
Form 4  
January 28, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Eisenhuth Guy

2. Issuer Name **and** Ticker or Trading  
Symbol  
WINTRUST FINANCIAL CORP  
[WTFC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
9700 WEST HIGGINS ROAD, 8TH  
FLOOR

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/24/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
EXECUTIVE VICE PRESIDENT

(Street)  
ROSEMONT, IL 60018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	01/24/2019		A		1,167 A \$ 0 <sup>(1)</sup>	10,053	D
Common Stock	01/25/2019		M		1,732 A \$ 30.98	11,785	D
Common Stock	01/25/2019		M		1,466 A \$ 37.85	13,251	D
Common Stock	01/25/2019		M		2,769 A \$ 46.86	16,020	D
Common Stock	01/25/2019		M		3,600 A \$ 44.11	19,620	D

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Common Stock	01/25/2019	M	2,802	A	\$ 40.87	22,422	D	
Common Stock	01/25/2019	F	8,689	D	\$ 72.69	13,733	D	
Common Stock						25	I	by 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 30.98	01/25/2019		M	1,732	01/26/2015	01/26/2019	Common Stock	1,732
Non-Qualified Stock Option (right to buy)	\$ 37.85	01/25/2019		M	1,466	01/24/2016	01/24/2020	Common Stock	1,466
Non-Qualified Stock Option (right to buy)	\$ 46.86	01/25/2019		M	2,769	01/23/2017	01/23/2021	Common Stock	2,769
Non-Qualified Stock Option (right to buy)	\$ 44.11	01/25/2019		M	3,600	01/22/2018	01/22/2022	Common Stock	3,600
Non-Qualified Stock Option (right to buy)	\$ 40.87	01/25/2019		M	2,802	01/28/2018	01/28/2023	Common Stock	2,802

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Eisenhuth Guy 9700 WEST HIGGINS ROAD, 8TH FLOOR ROSEMONT, IL 60018			EXECUTIVE VICE PRESIDENT	

## Signatures

/s/Kathleen M. Boege,  
Attorney-in-fact

01/28/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents stock awarded upon attainment of performance objectives under the Company's Long Term Incentive Program.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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