

CROEN RONALD
Form 4
September 21, 2005

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CROEN RONALD

2. Issuer Name and Ticker or Trading Symbol
NUANCE COMMUNICATIONS [NUAN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/15/2005

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O NUANCE COMMUNICATIONS INC., 1380 WILLOW ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MENLO PARK, CA 94025

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | Code V Amount (A) or (D) Price | | | |
| Common Stock | 09/15/2005 | | D | 330,333 D 11 | 0 (1) | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Director Stock Option (Right to Buy) | \$ 8.5 | 09/15/2005 | | D | 388,236 | <u>(2)</u> | 12/16/2009 | Common Stock | 388,236 |
| Director Stock Option (right to buy) | \$ 8.95 | 09/15/2005 | | D | 200,000 | <u>(3)</u> | 12/20/2011 | Common Stock | 200,000 |
| Director Stock Option (right to buy) | \$ 2.55 | 09/15/2005 | | D | 40,000 | <u>(3)</u> | 11/18/2012 | Common Stock | 40,000 |
| Director Stock Option (right to buy) | \$ 2.65 | 09/15/2005 | | D | 200,000 | <u>(2)</u> | 01/13/2013 | Common Stock | 200,000 |
| Director Stock Option (right to buy) | \$ 2.65 | 09/15/2005 | | D | 160,000 | <u>(3)</u> | 01/13/2013 | Common Stock | 160,000 |
| Director Stock Option (right to buy) | \$ 6.76 | 09/15/2005 | | D | 20,000 | <u>(4)</u> | 11/12/2013 | Common Stock | 20,000 |
| Director Stock Option (right to buy) | \$ 4.39 | 09/15/2005 | | D | 20,000 | <u>(4)</u> | 12/02/2014 | Common Stock | 20,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| CROEN RONALD C/O NUANCE COMMUNICATIONS INC. 1380 WILLOW ROAD MENLO PARK, CA 94025 | X | | | |

Signatures

/s/ Doug Neilsson, 09/21/2005
 Attorney-In-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposed of pursuant to merger agreement between issuer and ScanSoft, Inc. ("ScanSoft") in exchange for (A) \$2.20 in cash and (B) 0.77 of a share of common stock of ScanSoft for each share of issuer common stock.

Each option was assumed by ScanSoft in the merger and adjusted pursuant to the merger agreement to provide for (A) 1.2199 shares of ScanSoft common stock for each share of issuer common stock subject to the option, and (B) an exercise price equal to the above exercise price divided by 1.2199. Option is fully vested.
- (3) Each option was assumed by ScanSoft in the merger and adjusted pursuant to the merger agreement to provide for (A) 1.2199 shares of ScanSoft common stock for each share of issuer common stock subject to the option, and (B) an exercise price equal to the above exercise price divided by 1.2199. 1/48th of shares subject to option vest on the monthly anniversary date of its vesting commencement date, and 50% of the unvested shares vest on the closing date of merger.
- (4) Each option was assumed by ScanSoft in the merger and adjusted pursuant to the merger agreement to provide for (A) 1.2199 shares of ScanSoft common stock for each share of issuer common stock subject to the option, and (B) an exercise price equal to the above exercise price divided by 1.2199. Option vests in equal monthly amounts over 12 month period from option grant date.

Remarks:

THE CONFIRMING STATEMENT GRANTING THE ATTORNEY-IN-FACT THE AUTHORITY TO EXECUTE AND FILE

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.